

Disclosure under Regulation 33(7) of SECC 2018:-

Sl. No.	Date of Board Meeting	Agenda Item No & Subject	Decision of the Board
1	29-08-2023	<p><u>Item No. BM/2023-24/03/02</u></p> <p>Sub: To consider for appointment of Mr. Manas Dhar as Non-Independent (NID) Shareholder Director (SHD) on the Governing Board of Calcutta Stock Exchange Limited (CSE) as per SEBI's Letter No. SEBI/HO/MRD/RAC2/P/OW/2023/29486/1 dated 24th July, 2023</p>	<p>“RESOLVED THAT pursuant to SEBI's approval vide its letter No.SEBI/HO/MRD/RAC2/P/OW/2023/29486/1 dated 24th July, 2023 and applicable provisions of the Companies Act, 2013 and in terms of Regulation 24 (1) of Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018, Mr. Manas Dhar (DIN:08651068) be and is hereby appointed as Non-Independent (NID) (Shareholder Director- SDH) on the Governing Board of The Calcutta Stock Exchange Limited.</p>
2	-do-	<p><u>Item No. BM/2023-24/03/05</u></p> <p>Sub :To Reconstitute the Board Committees of CSE</p>	<p>Board reconstituted the following Board Committees in view of appointment of Mr. Manas Dhar as Non-Independent (NID) (Shareholder Director- SDH) on the Governing Board of The Calcutta Stock Exchange Limited as per SEBI CircularNo.SEBI/HO/MRD/DOP2DSA2/CI R/P/2019/13 dated 10th January, 2019 and subsequent amendment of SECC Regulations-2023 effective from 28th August, 2023</p>
3	-do-	<p><u>Item No. BM/2023-24/03/14</u></p> <p>Sub : To consider for Reappointment of Mr. Samit Ray (DIN :03623560), who retires by rotation and being eligible offered himself for re-appointment as Non-Independent (NID) (Shareholder Director) of the Exchange</p>	<p>“RESOLVED THAT pursuant to the provisions of 152 of the Companies Act 2013 and Companies (Appointment and Qualification of Directors) Rules 2020 including its amendments, Mr. Samit Ray (DIN:03623560) Non-Independent (NID) Shareholder Director of the company is liable to retire by rotation and is eligible for reappointment and Shri Samit Ray has submitted his consent for re-appointment as Non-Independent (NID) (Shareholder Director subject approval by the shareholders in the forthcoming 100th Annual General Meeting of the Exchange.”</p>
4	-do-	<p><u>Item No. BM/2023-24/03/19</u></p> <p>Sub : Closure of Unpaid Dividend Account No.50200021747817 of 2015-16 maintained with HDFC Bank</p>	<p>RESOLVED THAT the Unpaid Dividend Account of The Calcutta Stock Exchange Limited bearing Account No. 50200021747817 with HDFC Bank Ltd., Fort Branch, Mumbai, be closed and the balance lying in the said account be transferred to the Investor Education & Protection Fund, in accordance with Section 124 of the Companies Act, 2013, read with the Investor Education &</p>

			<p>Protection Fund (Awareness & Protection of Investors) Rules, 2001.</p> <p>RESOLVED FURTHER THAT either any of the Director or Chief Financial Officer or Company Secretary or Chief General Manager & Compliance Officer of the Company, be and are hereby authorized severally and jointly, to execute documents as may be required by HDFC Bank Ltd. for the closure of the aforesaid account.”</p>
5	-do-	<p><u>Item No. BM/2023-24/03/21</u></p> <p>Sub : Seeking approval for alteration and/or amendment modification of <u>Articles of Association (AOA) and Bye Laws of CSE</u></p>	<p>“RESOLVED THAT pursuant to provisions of Section-5 and 14 of the Companies Act, 2013 read with the Companies (Incorporation) Rules 2014 and all other applicable provisions, if any, of the Act (including any statutory modifications or reenactment thereof for the time being in force), approval of the Board be and is hereby accorded to adopt a new set of regulations in the Articles of Association of the Company. as per the draft placed before the Board.</p> <p>RESOLVED FURTHER THAT the Board of Directors of the Company recommended to place the modified Articles of Association to the shareholders in its ensuing 100th Annual General Meeting scheduled to be held on 29th September, 2023 for its approval and thereafter it will be submitted to SEBI as per Regulation-42(2) of SECC Regulations, 2018 (as amended on 2nd March, 2023) and finally to MCA.”</p>
6	-do-	<p><u>Item No. BM/2023-24/03/23</u></p> <p>Sub: Appointment of Chief Risk Officer</p>	<p>Board approved the name of Mr. Asis Maity, Manager as Chief Risk Officer of the Exchange for complying with the Clause-30A of SECC Regulations, 2018.</p>
7	-do-	<p><u>Item No. BM/2023-24/03/25</u></p> <p>: To consider for Appointment of Compliance Officer for NSE/BSE trading U/s.13A of SCRA and Chief Ethics Officer</p>	<p>The Board perused the agenda “RESOLVED THAT Mr. Suman Dey be designated as Compliance Officer of NSE and BSE and Chief Ethics Officer of CSE. He will be responsible for ethics of the employees, members and the company. He will monitoring the compliance of trading members /professional clearing member in respect of the Act, Rules, Regulations, Notifications, Guidelines, Instructions etc. issued by SEBI or the Central Government and for redressal of investors’ grievances relating to the respective Stock</p>

			exchange as well as compliance of the requirement as per agreement with NSE and BSE under Section13A of SCRA and SEBI.”
1	22-09-2023	<u>Item No. BM/2023-24/04/11</u> Sub : Noting of appointment of Mr. Chacko Joseph as Independent Director on the Board of Navio Shipping Private Limited	The Board noted that Mr. Chacko Joseph-Director vide his email dated 18 th September, 2023 intimated that he has joined as Independent Director on the Board of Navio Shipping Private Limited. The Board directed to take action for incorporation and intimation in the MBP-1.
2	16-10-2023	<u>Item No. BM/2023-24/05/05</u> Sub : Noting of action taken in respect of Collateral Allocation Penalty claimed by ICCL for the period 1st August 2022 to August 2023 from CSE	The Board noted the action taken in respect of Collateral Allocation Penalty claimed by ICCL for the period 1 st August 2022 to August 2023 and Management also apprised the Board that in order to close the matter, CSE appointed M/s. Pal & Roy, Chartered Accountants @ Rs.3000/- per month for certification of the short allocations actually collected by CSE from its trading members, who were doing trades in BSE trading platform under Section-13A of SCRA, 1956.