

Report 1A: Complaints received from clients against Trading Members (TMs) during 2023-24
Updated on 03-31-2024 (to be updated weekly) (In excel format)

Details of Complaint				Details of TM			Status of Complaint				
Sl. No.	Date of Receipt	Name of Client	Type of Complaint *	Name of the TM	Market Segment	If defaulter, Date of its declaration	Status **	Status Date ##	Date of Filing Arbitratio	Name of Arbitrator(s)	Date of Arbitratio n Award
1											
2	AS PER SCORES AND CARE PLUS										
3											
4											
5											
6	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
7											
8											
9											

Total No. of complaints received agains all stock broker of	Overall market redresal rate (total No. of complaint redressed / Total No. of complaint

Report 1A: Complaints received from clients against Trading Members (TMs) during 2024-25
Updated on 09-30-2024 (to be updated weekly) (In excel format)

Details of Complaint				Details of TM			Status of Complaint				
Sl. No.	Date of Receipt	Name of Client	Type of Complaint *	Name of the TM	Market Segment	If defaulter, Date of its declaration	Status **	Status Date ##	Date of Filing Arbitration	Name of Arbitrator(s)	Date of Arbitration Award
1											
2	AS PER SCORES AND CARE PLUS										
3											
4											
5											
6	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
7											
8											
9											

Total No. of complaints received against all stock broker of	Overall market redresal rate (total No. of complaint redressed / Total No. of complaint

Report 1B: Redressal of Complaints lodged by clients against Trading Members (TMs) during 2022-23												
Updated on 03 31 2023 (MM DD YYYY) QTRLY REPORTS (in excel format)												
Sl. No.	Name of the TM Member	Defaulter (Yes/No)	No. of UCCs at the beginning of the year	No. of Complaints received against the TM *	Of the Complaints received during 2022-22							
					No. of Complaints							
					Resolved through the Exchange / IGRC	Non actionable **	Arbitration Advised	Pending for redressal with Exchange	No. of Arbitration filed by Clients	Decided by Arbitrators	Decided by Arbitrators in favour of the clients	Pending for Redressal with Arbitrators
AS PER SCORES AND CARE PLUS												
1	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Total

NIL

Report 1B: Redressal of Complaints lodged by clients against Trading Members (TMs) during 2023-24													
Updated on 03 31 2024 (MM DD YYYY) QTRLY REPORTS (in excel format)													
Sl. No.	Name of the TM Member	Defaulter (Yes/No)	No. of UCCs at the beginning of the year	No. of Complaints received against the TM *	Of the Complaints received during 2023-24								Pending for Redressal with Arbitrators
					No. of Complaints								
					Resolved through the Exchange / IGRC	Non actionable **	Arbitration Advised	Pending for redressal with Exchange	No. of Arbitration filed by Clients	Decided by Arbitrators	Decided by Arbitrators in favour of the clients		
AS PER SCORES AND CARE PLUS													
1	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	

Total

NIL

Report 1B: Redressal of Complaints lodged by clients against Trading Members (TMs) during 2024-25												
Updated on 09 30 2025 (MM DD YYYY) QTRLY REPORTS (in excel format)												
Sl. No.	Name of the TM Member	Defaulter (Yes/No)	No. of UCCs at the beginning of the year	No. of Complaints received against the TM *	Of the Complaints received during 2024-25							
					No. of Complaints							
					Resolved through the Exchange / IGRC	Non actionable **	Arbitration Advised	Pending for redressal with Exchange	No. of Arbitration filed by Clients	Decided by Arbitrators	Decided by Arbitrators in favour of the clients	Pending for Redressal with Arbitrators
AS PER SCORES AND CARE PLUS												
1	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Total

NIL

Report 1C: Report of Redressal of Complaints lodged by clients against Trading Members (TMs) during 2022-23
Updated on 03-31-2023 (to be updated every quarter) (In excel format)

Sl. No.	Name of the TM Member	Defaulter (Yes/No)	No. of UCCs at the beginning of the year	No. fo active clients of each stock broker	No. of Complaints received against the TM *	% of no. of complaint received against no. of active client of stock broker	Of the Complaints received during 2022-23									
							Resolved through the Exchange / IGRC	% of complaints resolved as against complaints received by the Stock Brokers	Non actionable **	Arbitration Advised	Pending for redressal with Exchange	No. of Arbitration filed by Clients	Decided by Arbitrators	Decided by Arbitrators in favour of the clients	Pending for Redressal with Arbitrators	
AS PER SCORES AND CARE PLUS																
	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL		
Total No. of complaints received agains all stock				Overall market redresal rate (total No. of complaint redressed / Total No. of complaint redressed / Total No. of complaints												

Report 1C: Report of Redressal of Complaints lodged by clients against Trading Members (TMs) during 2023-24
Updated on 03-31-2024 (to be updated every quarter) (In excel format)

Sl. No.	Name of the TM Member	Defaulter (Yes/No)	No. of UCCs at the beginning of the year	No. fo active clients of each stock broker	No. of Complaints received against the TM *	% of no. of complaint received against no. of active client of stock broker	Of the Complaints received during 2023-24							
							Resolved through the Exchange / IGRC	% of complaints resolved as against complaints received by the Stock Brokers	Non actionable **	Arbitration Advised	Pending for redressal with Exchange	No. of Arbitration filed by Clients	Decided by Arbitrators	Decided by Arbitrators in favour of the clients
AS PER SCORES AND CARE PLUS														
	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Total No. of complaints received agains all stock				Overall market redresal rate (total No. of complaint redressed / Total No. of complaint redressed / Total No. of complaints)										

REPORTS 3A : Disposal of Arbitration Proceedings (where client is a party) during 2022-23. Updated on 31.03.2023 (to be updated every quarter)									
SL NO	NAME OF ARBITRATOR	NO.OF AWARDS PASSED	NO. OF AWARDS IN FAVOR OF CLIENTS		NO.OF AWARDS IMPLEMENTED	NO.OF AWARDS APPEALED	NO.OF CASES PENDING FOR REDRESSAL AT THE END OF PERIOD		
			FILED BY TM	FILED BY CLIENTS			PENDING	FOR MORE THAN 6MONTHS	FOR MORE THAN 3MONTHS,BUT LESS THAN6 MONTHS
	AS PER SCORES AND CARE PLUS								
	nil	nil	nil	nil	nil	nil	nil	nil	nil

(incase of panel of arbitrators, the cases/ awards would appear against every member of the panel)

(arrange the Arbitrators in descending no. Of Awards passed by them during the period)

REPORTS 3A : Disposal of Arbitration Proceedings (where client is a party) during 2023-24. Updated on 31.03.2024 (to be updated every quarter)									
SL NO	NAME OF ARBITRATOR	NO.OF AWARDS PASSED	NO. OF AWARDS IN FAVOR OF CLIENTS		NO.OF AWARDS IMPLEMENTED	NO.OF AWARDS APPEALED	NO.OF CASES PENDING FOR REDRESSAL AT THE END OF PERIOD		
			FILED BY TM	FILED BY CLIENTS			PENDING	FOR MORE THAN 6MONTHS	FOR MORE THAN 3MONTHS,BUT LESS THAN6 MONTHS
	AS PER SCORES AND CARE PLUS								
	nil	nil	nil	nil	nil	nil	nil	nil	nil

(incase of panel of arbitrators, the cases/ awards would appear against every member of the panel)

(arrange the Arbitrators in descending no. Of Awards passed by them during the period)

REPORTS 3A : Disposal of Arbitration Proceedings (where client is a party) during 2024-25. Updated on 30.09.2024 (to be updated every quarter)									
SL NO	NAME OF ARBITRATOR	NO.OF AWARDS PASSED	NO. OF AWARDS IN FAVOR OF CLIENTS		NO.OF AWARDS IMPLEMENTED	NO.OF AWARDS APPEALED	NO.OF CASES PENDING FOR REDRESSAL AT THE END OF PERIOD		
			FILED BY TM	FILED BY CLIENTS			PENDING	FOR MORE THAN 6MONTHS	FOR MORE THAN 3MONTHS,BUT LESS THAN6 MONTHS
	AS PER SCORES AND CARE PLUS								
	nil	nil	nil	nil	nil	nil	nil	nil	nil

(incase of panel of arbitrators, the cases/ awards would appear against every member of the panel)	
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(arrange the Arbitrators in descending no. Of Awards passed by them during the period)
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REPORTS 3B : Disposal of Arbitration Proceedings (where client is a party) during 2022-23. Updated on 31.03.2023 (to be updated every quarter)

SL NO	NAME OF ARBITRATOR	NO.OF AWARDS PASSED	NO. OF AWARDS IN FAVOR OF CLIENTS FILED BY TM	FILED BY CLIENTS	NO.OF AWARDS IMPLEMENTE	NO.OF AWARDS APPEALED	NO.OF CASES PENDING FOR REDRESSAL AT THE END OF PERIOD PENDING	FOR MORE THAN 6 MONTHS	FOR MORE THAN 3MONTHS,BUT LESS THAN 6 MONTH
AS PER SCORES AND CARE PLUS									
	nil	nil	nil	nil	nil	nil	nil	nil	nil

(incase of panel of arbitrators, the cases/ awards would appear against every member of the panel)

(arrange the Arbitrators in descending no. Of Awards passed by them during the period)

REPORTS 3B : Disposal of Arbitration Proceedings (where client is a party) during 2023-24. Updated on 31.03.2024 (to be updated every quarter)

SL NO	NAME OF ARBITRATOR	NO.OF AWARDS PASSED	NO. OF AWARDS IN FAVOR OF CLIENTS FILED BY TM	FILED BY CLIENTS	NO.OF AWARDS IMPLEMENTE	NO.OF AWARDS APPEALED	NO.OF CASES PENDING FOR REDRESSAL AT THE END OF PERIOD PENDING	FOR MORE THAN 6 MONTHS	FOR MORE THAN 3MONTHS,BUT LESS THAN 6 MONTH
AS PER SCORES AND CARE PLUS									
	nil	nil	nil	nil	nil	nil	nil	nil	nil

(incase of panel of arbitrators, the cases/ awards would appear against every member of the panel)

(arrange the Arbitrators in descending no. Of Awards passed by them during the period)

REPORTS 3B : Disposal of Arbitration Proceedings (where client is a party) during 2024-25. Updated on 30.09.2024 (to be updated every quarter)

SL NO	NAME OF ARBITRATOR	NO.OF AWARDS PASSED	NO. OF AWARDS IN FAVOR OF CLIENTS FILED BY TM	FILED BY CLIENTS	NO.OF AWARDS IMPLEMENTE	NO.OF AWARDS APPEALED	NO.OF CASES PENDING FOR REDRESSAL AT THE END OF PERIOD PENDING	FOR MORE THAN 6 MONTHS	FOR MORE THAN 3MONTHS,BUT LESS THAN 6 MONTH
AS PER SCORES AND CARE PLUS									
	nil	nil	nil	nil	nil	nil	nil	nil	nil

(incase of panel of arbitrators, the cases/ awards would appear against every member of the panel)

(arrange the Arbitrators in descending no. Of Awards passed by them during the period)

