

The Calcutta Stock Exchange Ltd.

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CIN: U67120WB1923PLC004707

May 11, 2022


NOTICE

Sub : Request to submit the Nomination Form by recording the Nominees of the Broker Members for release of their securities deposit

Member-Brokers of the Exchange are hereby informed that Board at its meeting held on 7th March, 2022 have approved the Nomination Form for recording the Nominees of the Broker-Members for release of their securities deposit so that in the event of the demise of the concerned broker-member, the security deposit could be released by the Exchange to their Nominee on the basis of the Nomination Form to be filed by the Broker Members.

As such, all existing broker-members are hereby requested to file the Nomination Form in the Membership Department of the Exchange within one month from the date of this notice.

For The Calcutta Stock Exchange Limited


Chief Financial Officer

Mob:98369-00225

Nomination Form

For Members of The Calcutta Stock Exchange Limited

| Director / Proprietor Name: Trade Name: Address: Telephone: Email ID: | | | | | | | | | | FORM FOR NOMINATION (To be filled in by individual applying singly or jointly) | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|--|---|--|--|--|------------------------------------|---|--|--|----------|--|---|--|--|--|--|--|--|
| Date | D | D | M | M | Y | Y | Y | Y | Y | SEBI Reg No. | | | | | | | | | CSE Code | | | | | | | | |
| I / We wish to make a nomination. [As per details given below] | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Nomination Details | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| I / We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death. | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Nomination can be made upto three nominees in the account | | | | | | | | | | Details of 1 st nominee | | | | | Details of 2 nd nominee | | | | | Details of the 3 rd nominee | | | | | | | |
| 1 | Name of the nominee(s) (Mr./Mrs.) | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | Share of each nominee | | | | | Equally <small>[If not equally, please specify percentage]</small> | | | | | % | | | | | % | | | | | % | | | | | | |
| Any odd lot after division shall be transferred to the first nominee mentioned in the form. | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| a. | Cash | | | | | Equally | | | | | | | | | | | | | | | | | | | | | |
| b. | Shares | | | | | Equally <small>[If not equally, please specify percentage]</small> | | | | | | | | | | | | | | | | | | | | | |
| c. | Fixed Deposit | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | Relationship with the Applicant (If any) | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | Address of Nominee(s) City/Place: State & Country: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | PIN Code | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | Mobile / Telephone No. of nominee(s) # | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | Email ID of nominee(s) # | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | Nominee Identification Details # [Please tick any one of the following and provide details of the same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhar <input type="checkbox"/> Saving Bank Account No <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Sr. Nos. 8-14 should be filled only if Nominee(s) is a minor: | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 | Date of Birth (in case of minor nominee(s)) | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | Name of Guardian (Mr./Mrs.) (in case of minor nominee(s)) | | | | | | | | | | | | | | | | | | | | | | | | | | |

Nomination Form

For Members of The Calcutta Stock Exchange Limited

| | | | | |
|--|---|--|--|-------------------------|
| 10 | Address of Guardian(s) City / Place: State / Country: PIN Code | | | |
| 11 | Mobile / Telephone no. of Guardian # | | | |
| 12 | Email ID of Guardian # | | | |
| 13 | Relationship of Guardian with nominee | | | |
| 14 | Guardian Identification Details # [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhar <input type="checkbox"/> Saving Bank Account No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID | | | |
| Name(s) of Holder(s) | | | | Signature(s) of holder* |
| Sole / First Holder (Mr./Mrs.) | | | | |
| Second Holder (Mr./Mrs.) | | | | |
| Third Holder (Mr./Mrs.) | | | | |
| * Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature. # Optional Fields (Information required at Serial nos. 5,6,7,11,12 & 14 is not mandatory) | | | | |

Note:

1. This nomination shall supersede any prior nomination made by the account holder(s), if any.
2. The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)
3. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.

| | | |
|----------------------------------|----------|----------|
| Name and Signature of Holder(s)* | | |
| 1. _____ | 2. _____ | 3. _____ |

*Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.