

The Calcutta Stock Exchange Limited

7, Lyons Range, Kolkata – 700001

Phone: 91-03340253000 (Board), Fax: 91-033-40253030
E-mail: cseadm@csse-india.com
Website: www.cse-india.com

CIN – U67120WB1923PLC004707

Date: 05/07/2019

NOTICE

Re: Issue of Single Registration Certificate to M/s Sharad Agarwal Broking Services Private Limited

Members are hereby informed that SEBI vide their letter dated 14th June, 2019 Ref. No. MIRSD-DoR/AC/SK/15165/2019 had informed NSE that a Single Registration No. had been allotted to M/s Sharad Agarwal Broking Services Private Limited

The details of the stock broker's Registration certificate are as follows:

Name of the Broker	Former Registration No./Certificate No.	Present Name/ Address/ Registration No./ Certificate No.
M/s Sharad Agarwal Broking Services Private Limited	SEBI Regn. No. INB230911134 Certificate No. 014544 (NSE)	M/s Sharad Agarwal Broking Services Private Limited
	SEBI Regn. No. INB230911134 Certificate No. 0001878 (NSE)	G-17, Fortune Chambers 6, Lyons Range Kolkata-700001 West Bengal
	SEBI Regn. No. INB030911139 (CSE)	SEBI Regn. No. INZ000265636 New Certificate No. 0002025 SEBI Regn. Date: 14 th June, 2019



Tilak Bakshi
Executive - Membership

- 1) ITD
- 2) Manager (Surveillance)
- 3) Chief Financial Officer (Accounts)
- 4) MOP
- 5) Securities
- 6) MOP Funds
- 7) Margin
- 8) Business Development
- 9) Samar Ghosh (Membership)
- 10) Back Office



MARKET INTERMEDIARIES REGULATION
AND SUPERVISION DEPARTMENT -DoR

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

MIRSD-DoR/AC/SK/15165/2019
June 14, 2019

The Head Membership Compliance
National Stock Exchange of India Limited
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (East),
Mumbai 400051

Dear Sir,

Sub: Grant of Registration Certificate to Sharad Agarwal Broking Services Private Limited under single registration

Please find enclosed the registration certificate issued to **Sharad Agarwal Broking Services Private Limited** under single registration. The details of the stock broker's certificate are as follows:

Former Certificate No. of the Broker (NSE)	Former Certificate No. of the Broker (CSE)
SEBI Regn. No. INB230911134 Certificate No. 014544	SEBI Regn. No. INB030911139
SEBI Regn. No. INB230911134 Certificate No. 0001878	
Present Name/Address Certificate No. of the Broker	
SEBI Regn. No. INZ000265636 New Certificate No. 0002025 Sharad Agarwal Broking Services Private Limited G-17, Fortune Chambers 6, Lyons range, Kolkata West Bengal - 700001	

You are hereby requested to communicate the following paragraphs to **Sharad Agarwal Broking Services Private Limited** while forwarding the said registration certificate to it. **Sharad Agarwal Broking Services Private Limited** shall ensure to register on FINnet Gateway of FIU-IND."

सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in

अनुवर्ती :
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

"Pursuant to issuance of a certificate of registration afresh consequent on change in the registration number of the broker from **INB/F230911134, INB030911139 to INZ000265636** the certificate of registration of all the sub-brokers affiliated to the broker, contract notes and other documents issued, the agreements executed with the sub-brokers and clients etc., under the old registration number prior to issuance of this certificate vide this letter, shall continue to be valid as if issued for /by/executed by the broker under its new registration number."

"The broker should intimate to all its sub-brokers, clients etc. about the change in registration number and a copy of this letter should be provided to them."

"The broker shall also inform all its sub-brokers that consequent to change in the broker's registration number, the sub-brokers need not submit their certificate of registration to SEBI for any change/modification in their certificates."

Yours faithfully,


Sachin Keer
Assistant General Manager

Encl: As above

CC :

The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700001

प्ररूप घ
FORM D

भारतीय प्रतिभूति और विनियम बोर्ड
SECURITIES AND EXCHANGE BOARD OF INDIA

(स्टाक दलाल और उप-दलाल) विनियम, 1992
(STOCK BROKERS AND SUB-BROKERS) REGULATIONS, 1992

000 20 25

(विनियम 6 तथा 10ख / Regulations 6 and 10B)

रजिस्ट्रीकरण प्रमाणपत्र
CERTIFICATE OF REGISTRATION

बोर्ड, भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992 के अधीन बनाये गये नियमों और विनियमों के साथ पठित उस अधिनियम की धारा 12 की उप-धारा (1) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए

In exercise of the powers conferred by sub-section (1) of section 12 of the Securities and Exchange Board of India Act, 1992, read with the rules and regulations made thereunder, the Board hereby grants a certificate of registration to

SHARAD AGARWAL BROKING SERVS P. LTD
Trade Name: SHARAD AGARWAL BROKING SERVS P. LTD
G-17, FORTUNE CHAMBERS, 6, LYONS RANGE
KOLKATA
WEST BENGAL
700001

को प्रतिभूतियों में क्रय, विक्रय या व्यौहार / व्यापारों के समाशोधन तथा निपटान के क्रियाकलाप करने के लिए और ऐसे अन्य क्रियाकलाप जो स्टॉक एक्सचेंज (एक्सचेंजों) / समाशोधन निगम (निगमों) द्वारा अनुज्ञात हों करने के लिए, बोर्ड द्वारा, समय-समय पर, उसके लिए विनिर्दिष्ट शर्तों के अधीन, स्टॉक दलाल / सांपत्तिक व्यापारिक सदस्य / समाशोधन सदस्य के रूप में रजिस्ट्रीकरण प्रमाणपत्र एतद्वारा प्रदान करता है।

as a

Stock Broker

stock broker / proprietary trading member / clearing member for carrying on the activities of buying, selling or dealing in securities / clearing and settlement of trades and for carrying on such other activities as are permitted by stock exchange(s) / clearing corporation(s), subject to the conditions specified therefor, from time to time, by the Board.

आबंटित रजिस्ट्रीकरण संख्या निम्नानुसार है / Registration number allotted is as under: INZ000265636

यह प्रमाणपत्र तब तक विधिमान्य रहेगा जब तक यह विनियमों के अनुसार निलंबित या रद्द नहीं हो जाता।

This certificate shall be valid till it is suspended or cancelled in accordance with the Regulations.



तारीख / Date : June 14, 2019

आदेश द्वारा / By order
भारतीय प्रतिभूति और विनियम बोर्ड के लिए और की ओर से
For and on behalf of Securities and Exchange Board of India

Anupma Chadha

ANUPMA CHADHA

प्राधिकृत हस्ताक्षरकर्ता Authorised Signatory