

17th March 2022

NOTICE

Sub : Revision of processing fee for membership related matters

Further to our notice regarding processing fee, members are hereby informed that the Board at their meeting held on 7th March, 2022 has revised the processing charges as mentioned in the following table:

| Sl.No. | Present Processing fee structure of membership related matters | Amount in Rs. Approved by the Board at its meeting held on 16.02.2018 | Proposed Fee in Rs. |
|---------------|---|--|---------------------------------|
| 1 | Processing Fees for Deposit Based Member | Rs.10,000/- (Non refundable) | Rs.10,000/- (Non refundable) |
| A | Shareholding | | |
| 1 | Change in Legal Form of Ownership - Individual to Corporate, Individual to Partnership or Partnership to Corporate or Corporate to LLP or Individual to LLP or Partnership to LLP | Rs.10,000/- | Rs.15,000/- |
| 2 | Merger/ Amalgamation not resulting to change in control | Rs.5,000/- | Rs.10,000/- |
| 3 | Merger/Amalgamation resulting to a new entity or change in control | Rs.10,000/- | Rs.15,000/- |
| 4 | Processing Fees for change in shareholding pattern leading to change in control | Rs.50,000/- | Rs.50,000/- |

| Sl.No. | Present Processing fee structure of membership related matters | Amount in Rs. Approved by the Board at its meeting held on | Proposed Fee in Rs. |
|---------------|---|---|----------------------------|
|---------------|---|---|----------------------------|

| | | 16.02.2018 | |
|----------|---|-------------------|-------------|
| 5 | Change in Shareholding without change in Control, Change in Partnership pattern without change in control | Rs.1,000/- | Rs. 5,000/- |
| B | Directorship | | |
| 1 | Change in Designated Directors | Rs.2,000/- | Rs.5,000/- |
| 2 | Change in Non-Designated Director | Rs.1,000/- | Rs.2,000/- |
| C | Others | | |
| | Surrender of Sebi Registration (Broker) | Rs.5,000/- | Rs.10,000/- |
| 1 | Surrender of Sebi Registration (Sub-broker) | Rs.2,000/- | Rs.2,000/- |
| 2 | Processing Fees for Sebi Registration (Sub-Broker) | Rs.2000/- | Rs.2,000/- |
| 3 | Change in trade name of Individual, Corporate, partnership firm | Rs.2,000/- | Rs.5,000/- |
| 4 | Issue of duplicate Certificate for loss of SEBI Registration Certificate | Rs.1,000/- | Rs.2,000/- |
| 5 | Change in Registered/ Communication address | Rs.500/- | Rs.1,000/- |
| 6 | Application for issue of Status Report/NOC | Rs.500/- | Rs.1,000/- |
| 7 | Change in Memorandum of Association | Rs.500/- | Rs.2,000/- |

| Sl.No. | Present Processing fee structure of membership related matters | Amount in Rs. Approved by the Board at its meeting held on | Proposed Fee in Rs. |
|---------------|---|---|----------------------------|
| | | | |

| | | 16.02.2018 | |
|----|--|---|---|
| 8 | Non-submission of Annual Balance Sheet/ Half-Yearly Net-worth certificate | 1 st month: reminder and Rs.100/- 2 nd month: reminder and Rs.500/-, 3 rd month onwards: Rs.1,000/- per month till the date of submission or the part thereof, subject to a maximum of Rs.10,000/-. | 1 st month: reminder and Rs.100/- 2 nd month: reminder and Rs.500/-, 3 rd month onwards: Rs.1,000/- per month till the date of submission or the part thereof, subject to a maximum of Rs.10,000/-. |
| 9 | Change in legal form of Ownership - Private Ltd. to Public Ltd. and vice versa | Rs.500/- | Rs.1,000/- |
| 10 | Appointment of Authorized Person (AP) | Rs.2,500/- | Rs.2,500/- across all segments. |
| 11 | Cancellation of Authorized Person (AP) | Rs.1,000/- | Rs.1,000/- across all segments. |
| 12 | Penalty for partnership firm/corporates for not taking prior approval from the Exchange for changes in directorship/shareholding pattern without change in control | Rs.5,000/- | Rs.5,000/- |

Note: Above amounts are exclusive of applicable Taxes.

The abovementioned charges is applicable for all approvals given by the Exchange on and from 8th March,2022.

Prosenjit Dutta
Chief Financial Officer