

## NOTICE

Dated: 30<sup>th</sup> January, 2018

Trading Members are hereby informed that the Exchange has received a Circular from SEBI vide Ref. No. SEBI/HO/MRD/DSA/CIR/P/2018/14, Dated January 29, 2018 regarding Online Registration Mechanism and Filing System for Stock Exchanges. The contents of the said circular are reproduced hereunder for information of trading members.

**Quote : -**

### CIRCULAR

SEBI/HO/MRD/DSA/CIR/P/2018/14

January 29, 2018

To,  
All Recognised Stock Exchanges

Dear Sir / Madam,

### **Subject: Online Registration Mechanism and Filing System for Stock Exchanges**

1. In order to ease the process of application for recognition / renewal, reporting and other filings in terms of the Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012 and other circulars issued from time to time, SEBI has introduced a digital platform for online filings related to Stock Exchanges.
2. All applicants desirous of seeking registration / renewal as a Stock Exchange in terms of Regulation 4 and 12 of the Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012, shall now submit their applications online, through SEBI Intermediary Portal at <https://siportal.sebi.gov.in>.
3. The applicants would be required to upload scanned copy of relevant documents such as any declarations, undertakings, etc. as may be specified in Securities Contracts (Regulation) ( Stock Exchanges and Clearing Corporations) Regulations, 2012, and keep hard copy of the same to be furnished to SEBI whenever required.
4. Further, all other filings including Annual Financial Statements and Returns, Monthly Development Report, Rules, Bye-laws, etc., shall also be submitted online.
5. The aforesaid online registration and filing system for Stock Exchanges is operational. Recognised Stock Exchanges are advised to note the same for immediate compliance.
6. Link for SEBI Intermediary Portal is also available on SEBI website – [www.sebi.gov.in](http://www.sebi.gov.in). In case of any queries and clarifications, users may refer to the manual provided in the portal or contact the SEBI Portal helpline on 022-26449364 or may write at [portalhelp@sebi.gov.in](mailto:portalhelp@sebi.gov.in).
7. This circular is being issued in exercise of powers conferred under Section 11 (1) of the Securities and Exchange Board of India Act, 1992 read with Regulation 50 and 51 of Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012 to protect the interests of investors in securities and to promote the development of, and to regulate the securities market.

Yours faithfully,  
BithinMahanta

Deputy General Manager  
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e-mail: [bithinm@sebi.gov.in](mailto:bithinm@sebi.gov.in)

**Unquote: -**

Trading Members are requested to take note of the aforesaid guidelines of SEBI and act accordingly.

**P. Dutta**  
**Chief Financial Officer**