

भारतीय प्रतिभूति और विनियम बोर्ड
SECURITIES AND EXCHANGE BOARD OF INDIA

(स्टाक दलाल और उप-दलाल) विनियम, 1992
(STOCK BROKERS AND SUB-BROKERS) REGULATIONS, 1992

0002205

(विनियम 6 तथा 10ख / Regulations 6 and 10B)

रजिस्ट्रीकरण प्रमाणपत्र
CERTIFICATE OF REGISTRATION

बोर्ड, भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992 के अधीन बनाये गये नियमों और विनियमों के साथ पठित उस अधिनियम की धारा 12 की उप-धारा (1) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए

In exercise of the powers conferred by sub-section (1) of section 12 of the Securities and Exchange Board of India Act, 1992, read with the rules and regulations made thereunder, the Board hereby grants a certificate of registration to

AJAY KUMAR CHIRIPAL
Trade Name: AJAY KUMAR & CO.
4, NETAJI SUBHASH ROAD
1ST FLOOR
KOLKATA
WEST BENGAL
700001

को प्रतिभूतियों में क्रय, विक्रय या ब्यौहार / व्यापारों के समाशोधन तथा निपटान के क्रियाकलाप करने के लिए और ऐसे अन्य क्रियाकलाप जो स्टॉक एक्सचेंज (एक्सचेंजों) / समाशोधन निगम (निगमों) द्वारा अनुज्ञात हों करने के लिए, बोर्ड द्वारा, समय-समय पर, उसके लिए विनिर्दिष्ट शर्तों के अधीन, स्टॉक दलाल / सांपत्तिक व्यापारिक सदस्य / समाशोधन सदस्य के रूप में रजिस्ट्रीकरण प्रमाणपत्र एतद्वारा प्रदान करता है।

as a

Stock Broker

stock broker / proprietary trading member / clearing member for carrying on the activities of buying, selling or dealing in securities / clearing and settlement of trades and for carrying on such other activities as are permitted by stock exchange(s) / clearing corporation(s), subject to the conditions specified therefor, from time to time, by the Board.

आबंटित रजिस्ट्रीकरण संख्या निम्नानुसार है / Registration number allotted is as under:

INZ000280417

यह प्रमाणपत्र तब तक विधिमान्य रहेगा जब तक यह विनियमों के अनुसार निलंबित या रद्द नहीं हो जाता।

This certificate shall be valid till it is suspended or cancelled in accordance with the Regulations.



तारीख / Date : August 19, 2019

आदेश द्वारा / By order
भारतीय प्रतिभूति और विनियम बोर्ड के लिए और की ओर से
For and on behalf of Securities and Exchange Board of India

Anupma Chadha

ANUPMA CHADHA

प्राधिकृत हस्ताक्षरकर्ता Authorised Signatory



MARKET INTERMEDIARIES REGULATION
AND SUPERVISION DEPARTMENT -DoR

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

MIRSD/DoR/AC/RS/21178/2019
August 20, 2019

The Calcutta Stock Exchange Limited
7, Lyons Range
Murgighata, Dalhousie
Kolkata - 700001

Dear Sir,

Sub: Grant of Registration Certificate to Ajay Kumar Chiripal under single registration

Please find enclosed the registration certificate issued to **Ajay Kumar Chiripal** under single registration. The details of the stock broker's certificate are as follows:

Former Certificate No. of the Broker (CSE)
SEBI Regn. No. INB031460414 Certificate No. 0017245
SEBI Regn. No. INF031460414 Certificate No. 005040
Present Name/Address Certificate No. of the Broker
SEBI Regn. No. INZ000280417 New Certificate No. 0002205 Ajay Kumar Chiripal 4, Netaji Subhash Road, 1st Floor Kolkata West Bengal - 700001

You are hereby requested to communicate the following paragraphs to **Ajay Kumar Chiripal** while forwarding the said registration certificate to it. **Ajay Kumar Chiripal** shall ensure to register on FIN net Gateway of FIU-IND."

"Pursuant to issuance of a certificate of registration afresh consequent on change in the registration number of the broker from **INB/F031460414** to **INZ000280417** the certificate of registration of all the sub-brokers affiliated to the broker, contract notes and other documents issued, the agreements executed with the sub-brokers and clients etc., under the old registration number prior to issuance of this certificate vide this letter, shall continue to be valid as if issued for /by/executed by the broker under its new registration number."

"The broker should intimate to all its sub-brokers, clients etc. about the change in registration number and a copy of this letter should be provided to them."

"The broker shall also inform all its sub-brokers that consequent to change in the broker's registration number, the sub-brokers need not submit their certificate of registration to SEBI for any change/modification in their certificates."

Yours faithfully,

Rucha Surange
Assistant Manager
Encl: As above

सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.

Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in

The Calcutta Stock Exchange Ltd.

7, Lyons Range, Kolkata - 700 001
Phone : +91 33 4025 3000, Fax : +91 33 4025 3030 / 3017
Website : www.cse-india.com, E-mail : cseadm@se-india.com
CIN: U67120WB1923PLC004707

Ref. No. CSE/Membership/Corp./2790 /2019.

Dated: 22/08/2019

Sri Ajay Kumar Chiripal
Prop. - M/s Ajay Kumar & Co
4, Netaji Subhash Road, 1st Floor,
Kolkata-700001,
West Bengal

Sir,

Sub: Issue of Single Registration Certificate

This is to inform you that SEBI vide its letter ref. no. MIRSD-DoR/JAC/RS/21178/2019 dated August 20th, 2019, had informed the Exchange that Single Registration Number had been granted to you Sri Ajay Kumar Chiripal, Prop. of M/s Ajay Kumar & Co

The details of the Registration Certificate are as follows:-

Former Regn. No./Certificate no of M/s Ajay Kumar & Co	Present Name /Address/ Regn. no./Certificate No. of the Broker
SEBI Reg.no. INB031460414 Certificate no. 0017245	Sri Ajay Kumar Chiripal (Prop. of M/s Ajay Kumar & Co)
SEBI Reg.no. INF031460414 Certificate no. 005040	4, Netaji Subhash Road, 1 st Floor, Kolkata-700001, West Bengal
	SEBI Reg.no. INZ000280417 New Certificate no.0002205 SEBI Regn. Date: 19 th August, 2019

Sri Ajay Kumar Chiripal shall ensure to register on FINnet Gateway of FIU-IND.

Pursuant to issuance of a certificate of registration afresh consequent on change in the registration number of the broker from INB / F 031460414 to INZ000280417 the certificate of registration of all the sub-brokers affiliated to the broker, contract notes and other documents issued, the agreements executed with the sub-brokers and clients etc, under the old registration number prior to issuance of this certificate vide this letter, shall continue to be valid as if issued for/by/executed by the broker under its new registration number.

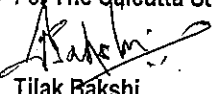
The broker should intimate to all its sub-brokers, clients etc. about the change in registration number and a copy of this letter should be provided to them.

The broker shall also inform all its sub-brokers that consequent to change in the broker's registration number, the sub-brokers need not submit their certificate of registration to SEBI for any change/modification in their certificates.

We are enclosing the Original Registration Certificate for your records.

Thanking you,

For The Calcutta Stock Exchange Ltd.


Tilak Bakshi
Executive - Membership
Enclose: as above

The Calcutta Stock Exchange Limited

7, Lyons Range, Kolkata – 700001

Phone: 91-03340253000 (Board), Fax: 91-033-40253030

E-mail: cseadm@se-india.com

Website: www.cse-india.com

CIN – U67120WB1923PLC004707

Date: 22/08/2019


NOTICE

Re: Issue of Single Registration Certificate to Sri Ajay Kumar Chiripal (Prop. of M/s Ajay Kumar & Co)

Members are here by informed that SEBI vide their letter dated 20th August, 2019 Ref. No. MIRSD-DoR/AC/RS/21178/2019 had informed CSE that a Single Registration No. had been allotted to Sri Ajay Kumar Chiripal Prop. of M/s Ajay Kumar & Co.

The details of the stock broker's Registration certificate are as follows:

Name of the Broker	Former Registration No./Certificate No.	Present Name/ Address/ Registration No./ Certificate No.
Sri Ajay Kumar Chiripal (Prop. of M/s Ajay Kumar & Co)	SEBI Regn. No. INB031460414 Certificate No. 0017245 (CSE)	Sri Ajay Kumar Chiripal (Prop. of M/s Ajay Kumar & Co)
	SEBI Regn. No. INF031460414 Certificate No. 005040 (CSE)	4, Netaji Subhash Road, 1 st Floor, Kolkata – 700001 West Bengal SEBI Regn. No. INZ000280417 New Certificate No. 0002205 SEBI Regn. Date: 19 th August, 2019


Tilak Bakshi
Executive - Membership

- 1) ITD
- 2) Manager (Surveillance)
- 3) Chief Financial Officer (Accounts)
- 4) MOP
- 5) Securities
- 6) MOP Funds
- 7) Margin
- 8) Business Development
- 9) Samar Ghosh (Membership)
- 10) Back Office