

N O T I C E

29th April, 2022

Sub : Segregation and Monitoring of Collateral at Client Level

Trading Members are hereby requested to refer SEBI circular No. SEBI/HO/MRD2_DCAP/CIR/2021/0598 dated 20th July, 2021 on the captioned subject.

Trading Members will be required to e-mail (ICCLCOLL_CMCODE_ddmmyyyy_batchno.csv) ([specimen attached](#)) for segment-wise (CM and FO) allocation of cash and cash equivalent collateral [Cash, Fixed Deposits (FDs), Bank Guarantees (BGs)] amounts at TMs own level or at the level of their respective clients'. Such allocation can be for the entire amount deposited with CSE or for partial amount. Failing which penalties will be imposed as per ICCL rules and regulation.

The benefit of the collateral limits will be available to the extent of allocated amount. Collateral amount not allocated by TMs as aforesaid will remain unallocated.

Trading Members are requested to send e-mail on daily basis on or before 4 PM to :

1. sumandey@cse-india.com
2. asismaity@cse-india.com
3. amitsantra@cse-india.com

This notice would be effective from 2nd May, 2022.

For The Calcutta Stock Exchange Ltd.

Amit Santra
Manager (Surveillance and Securities)

