

10<sup>th</sup> November, 2020

## **NOTICE**

### **SUB : LIEN OF MEMBERS FIXED DEPOSITS WITH THIS EXCHANGE AS COLLATERALS**

Trading members are hereby requested to submit the attached undertakings (one time) while depositing Fixed Deposits issued by Approved Banks, with this Exchange as collaterals.

Amit Santra  
Manager (Surveillance and Securities)

Encl. format for undertaking

# UNDERTAKING

(to be submitted in the letter head of member)

As per the Rules, Bye-laws and Regulations of Indian Clearing Corporation Ltd. (hereinafter referred to as “**ICCL**”) and in terms of the notices/circulars issued by CSE/ICCL/NCL/NSE/BSE/SEBI in this regard, M/s. ....,SEBI Registration No..... and one of the Active Trading Members of The Calcutta Stock Exchange Ltd., having Business Code ..... is required to maintain the requisite collateral with CSE in terms of Rules, Bye-laws and Regulations of CSE/ICCL/NCL/NSE/BSE/SEBI and direction/circular issued by SEBI/ICCL. M/s. ...., Business Code ...., is interested in depositing Cash, Fixed Deposits and Securities as Collateral with CSE and undertakes that –

- a) We, M/s. .... (name of Trading member) are depositing the FDRs with CSE/ICCL/NCL/NSE/BSE/SEBI which have been generated from fund deposits of ours;
- b) the mentioning of PAN No. (of our firm) by the banks on the FDRs is only for the operational convenience of CSE to account for the interest and claim on TDS benefit by us;
- c) we will be held responsible for any violation of norms in depositing of FDRs other than those us and out of funds deposits from any other sources;

(signature and name)

Authorised Signatory  
(trade name ) .