

The Calcutta Stock Exchange Limited

7, Lyons Range, Kolkata – 700001

Phone: 91-03340253000 (Board), Fax: 91-033-40253030

E-mail: cseadm@se-india.com

Website: www.se-india.com

CIN – U67120WB1923PLC004707

Date: 06/06/2019

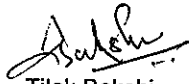
NOTICE

**Re: Conversion from Private Ltd Co (M/s Manoj Dhupelia Stk. Brok. Ser. (P) Ltd.) to
LLP (M/s Manoj Dhupelia Stock Broking Services LLP)**

Members are here by informed that SEBI vide their letter dated 28th May, 2019 Ref. No. MIRSD-DoR/BS/OW/13064/1/2019 had informed the Exchange that there is a Conversion from Private Ltd Co (M/s Manoj Dhupelia Stk. Brok. Ser. (P) Ltd.) to LLP (M/s Manoj Dhupelia Stock Broking Services LLP)

The details of the stock broker's Registration certificate are as follows:

Former Name of the Broker	Former Registration No./Certificate No.	Present Name/ Address/ Registration No./ Certificate No.
M/s Manoj Dhupelia Stk. Brok. Ser. (P) Ltd.	SEBI Regn. No. INZ000215631 (CSE)	M/s Manoj Dhupelia Stock Broking Services LLP Nilhat House, 6 th Floor, 11, R. N. Mukherjee Road, Kolkata-700001 West Bengal SEBI Regn. No. INZ000215631 New Certificate No. 0001979 SEBI Regn. Date: 24 th May, 2019



Tilak Bakshi
Executive - Membership

- 1) ITD
- 2) Manager (Surveillance)
- 3) Chief Financial Officer (Accounts)
- 4) MOP
- 5) Securities
- 6) MOP Funds
- 7) Margin
- 8) Business Development
- 9) Samar Ghosh (Membership)
- 10) Back Office



भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India

MARKET INTERMEDIARIES REGULATION AND SUPERVISION DEPARTMENT

MIRSD/DOR/BS/OW/13064/1/2019
May 28, 2019

The Managing Director
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700001

Dear Sir,

Sub: Conversion from Private Limited Co. (Manoj Dhupelia Stk. Brok. Ser. (P) Ltd.) to LLP (Manoj Dhupelia Stock Broking Services LLP)

Please find enclosed the registration certificate duly changed. The details of the stock brokers' certificate are as follows:

Former Name/Address Certificate No. of the Broker (CSE)
Manoj Dhupelia Stk. Brok. Ser. (P) Ltd. SEBI Regn. No. INZ000215631 Trade Name : Manoj Dhupelia Stk. Brok. Ser. (P) Ltd. Nilhat House, 6 th Floor 11 R N Mukherjee Road Kolkata - 700001
Present Name/Address Certificate No. of the Broker
SEBI Regn. No. INZ000215631 New Certificate No.0001979 Manoj Dhupelia Stock Broking Services LLP Trade Name : Manoj Dhupelia Stock Broking Services LLP Nilhat House, 6 th Floor 11 R N Mukherjee Road Kolkata - 700001

You are hereby requested to communicate the following paragraphs to **Manoj Dhupelia Stock Broking Services LLP** while forwarding the said registration certificate to him.

सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



अनुवर्ती :
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

"Pursuant to issuance of a certificate of registration afresh consequent on conversion from private Limited Co. (Manoj Dhupelia Stk. Brok. Ser. (P) Ltd.) to LLP (Manoj Dhupelia Stock Broking Services LLP) the certificate of registration of all the sub-brokers affiliated to the broker, contract notes and other documents issued, the agreements executed with the sub-brokers and clients etc, under the old name/constitution prior to issuance of this certificate vide this letter, shall continue to be valid as if issued for /by/executed by the broker under its new name/constitution ."

"The broker should intimate to all its sub-brokers, clients etc about conversion from Private Limited Co. to LLP and a copy of this letter should be provided to them."

Yours faithfully,

Bhagwandas Samariya
Assistant General Manager

Encl: as stated



अनुवर्ती :
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

प्राप्ति-सूचना
ACKNOWLEDGEMENT

हम (नाम नीचे सारणी में दिए गए हैं) एतद्वारा यह सूचित करते हैं कि हमें भारतीय प्रतिभूति और विनिमय बोर्ड (सेबी), मुंबई का तारीख/ We the following hereby acknowledge the receipt of letter dated 28/05/19 कापत्र और उसके साथ संलग्न रजिस्ट्रीकरण प्रमाणपत्र प्राप्त हो गया है/ of Securities & Exchange Board of India, Mumbai, along with the Certificate of Registration.

सं. No.	दलाल (ब्रोकर) का नाम / ट्रेडनाम Broker Name / Trade Name	रजिस्ट्रीकरण सं. Registration No.	प्राप्तकर्ता के हस्ताक्षर Ack. Signature
1	SEBI Regn. No. - INZ000215631 New Certificate No.0001979 Manoj Dhupelia Stock Broking Services LLP Trade Name : Manoj Dhupelia Stock Broking Services LLP Nilhat House, 6 th Floor 11 R N Mukherjee Road Kolkata - 700001	INZ000215631	

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भारतीय प्रतिभूति और विनिमय बोर्ड
SECURITIES AND EXCHANGE BOARD OF INDIA

(स्टाक दलाल और उप-दलाल) विनियम, 1992
(STOCK BROKERS AND SUB-BROKERS) REGULATIONS, 1992

0001979

(विनियम 6 तथा 10ब / Regulations 6 and 10B)

रजिस्ट्रीकरण प्रमाणपत्र
CERTIFICATE OF REGISTRATION

बोर्ड, भारतीय प्रतिभूति और विनिमय बोर्ड अधिनियम, 1992 के अधीन बनाये गये नियमों और विनियमों के साथ पठित उस अधिनियम की धारा 12 की उप-धारा (1) द्वारा प्रकृत शक्तियों का प्रयोग करते हुए

In exercise of the powers conferred by sub-section (1) of section 12 of the Securities and Exchange Board of India Act, 1992, read with the rules and regulations made thereunder, the Board hereby grants a certificate of registration to

MANOJ DHUPELIA STK. BROK. SER. LLP
Trade Name: **MANOJ DHUPELIA STK. BROK. SER. LLP**
NILHAT HOUSE, 6TH FLOOR
11, R.N. MUKHERJEE ROAD
KOLKATA
WEST BENGAL
700001

को प्रतिभूतियों में क्रय, विक्रय या व्यौहार / व्यापारों के समाशोधन तथा निपटान के क्रियाकलाप करने के लिए और ऐसे अन्य क्रियाकलाप जो स्टॉक एक्सचेंज (एक्सचेंजों) / समाशोधन निगम (निगमों) द्वारा अनुज्ञात हों करने के लिए, बोर्ड द्वारा, समय-समय पर, उसके लिए विनिर्दिष्ट शर्तों के अधीन, स्टॉक दलाल / सांपत्तिक व्यापारिक सदस्य / समाशोधन सदस्य के रूप में रजिस्ट्रीकरण प्रमाणपत्र एतद्वारा प्रदान करता है।

as a

Stock Broker

stock broker / proprietary trading member / clearing member for carrying on the activities of buying, selling or dealing in securities / clearing and settlement of trades and for carrying on such other activities as are permitted by stock exchange(s) / clearing corporation(s), subject to the conditions specified therefor, from time to time, by the Board.

आवंटित रजिस्ट्रीकरण संख्या निम्नानुसार है / Registration number allotted is as under: **INZ000215631**

यह प्रमाणपत्र तब तक विधिमान्य रहेगा जब तक यह विनियमों के अनुसार निलंबित या रद्द नहीं हो जाता।

This certificate shall be valid till it is suspended or cancelled in accordance with the Regulations.



आदेश द्वारा / By order
भारतीय प्रतिभूति और विनिमय बोर्ड के लिए और की ओर से
For and on behalf of **Securities and Exchange Board of India**

Anupma Chadha

ANUPMA CHADHA

प्राधिकृत हस्ताक्षरकर्ता **Authorised Signatory**

तारीख / Date : **May 24, 2019**