

# The Calcutta Stock Exchange Limited

7, Lyons Range, Kolkata – 700001

Phone: 91-03340253000 (Board), Fax: 91-033-40253030

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Website: [www.cse-india.com](http://www.cse-india.com)

CIN – U67120WB1923PLC004707

Date: 19/06/2019

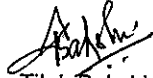
## NOTICE

### Re: Issue of Single Registration Certificate to M/s Goenka Securities Private Limited

Members are hereby informed that SEBI vide their letter dated 13<sup>th</sup> June, 2019 Ref. No. MIRSD-DoR/AC/DK/14855/2019 had informed NSE that a Single Registration No. had been allotted to M/s Goenka Securities Private Limited

The details of the stock broker's Registration certificate are as follows:

Name of the Broker	Former Registration No./Certificate No.	Present Name/ Address/ Registration No./ Certificate No.
M/s Goenka Securities Private Limited	SEBI Regn. No. INB230808835 Certificate No. 0012452 (NSE)	M/s Goenka Securities Private Limited  G-51, Fortune Chambers, 6, Lyons Range Kolkata-700001 West Bengal  SEBI Regn. No. INZ000265139 New Certificate No. 0002020 SEBI Regn. Date: 12 <sup>th</sup> June, 2019
	SEBI Regn. No. INF230808835 Certificate No. 0000532 (NSE)	
	SEBI Regn. No. INB030808837 Certificate No. 008513 (CSE)	



Tilak Bakshi  
Executive - Membership

- 1) ITD
- 2) Manager (Surveillance)
- 3) Chief Financial Officer (Accounts)
- 4) MOP
- 5) Securities
- 6) MOP Funds
- 7) Margin
- 8) Business Development
- 9) Samar Ghosh (Membership)
- 10) Back Office



MARKET INTERMEDIARIES REGULATION  
AND SUPERVISION DEPARTMENT -DoR

भारतीय प्रतिभूति  
और विनियम बोर्ड  
**Securities and Exchange  
Board of India**

MIRSD-DoR/AC/DK/14855/2019  
June 13, 2019

The Head Membership Compliance  
National Stock Exchange of India Limited  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra Kurla Complex,  
Bandra (East),  
Mumbai 400051

Dear Sir,

**Sub: Grant of Registration Certificate to Goenka Securities Private Limited under single registration**

Please find enclosed the registration certificate issued to **Goenka Securities Private Limited** under single registration. The details of the stock broker's certificate are as follows:

Former Certificate No. of the Broker (NSE)	Former Certificate No. of the Broker (CSE)
SEBI Regn. No. INB230808835 Certificate No. 0012452	SEBI Regn. No. INB030808837 Certificate No. 008513
SEBI Regn. No. INF230808835 Certificate No. 0000532	
<b>Present Name/Address Certificate No. of the Broker</b>	
SEBI Regn. No. INZ000265139 New Certificate No. 0002020 <b>Goenka Securities Private Limited</b> G-51, Fortune Chambers 6, Lyons Range, Kolkata West Bengal - 700001	

You are hereby requested to communicate the following paragraphs to **Goenka Securities Private Limited** while forwarding the said registration certificate to it. **Goenka Securities Private Limited** shall ensure to register on FINnet Gateway of FIU-IND."

"Pursuant to issuance of a certificate of registration afresh consequent on change in the registration number of the broker from **INB/F230808835, INB030808837 to INZ000265139** the certificate of registration of all the sub-brokers affiliated to the broker, contract notes and other documents issued, the agreements executed with the sub-brokers and clients etc., under the old registration number prior to issuance of this certificate vide this letter, shall continue to be valid as if issued for /by/executed by the broker under its new registration number."

सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.  
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.  
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



अनुवर्ती :  
Continuation :

भारतीय प्रतिभूति  
और विनिमय बोर्ड  
**Securities and Exchange  
Board of India**

"The broker should intimate to all its sub-brokers, clients etc. about the change in registration number and a copy of this letter should be provided to them."

"The broker shall also inform all its sub-brokers that consequent to change in the broker's registration number, the sub-brokers need not submit their certificate of registration to SEBI for any change/modification in their certificates."

Yours faithfully,

Dilip Kamthe  
Accounts Assistant

Encl: As above

CC.:

The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata - 700001

प्ररूप घ  
FORM D

भारतीय प्रतिभूति और विनियम बोर्ड  
SECURITIES AND EXCHANGE BOARD OF INDIA

(स्टॉक दलाल और उप-दलाल) विनियम, 1992  
(STOCK BROKERS AND SUB-BROKERS) REGULATIONS, 1992

000 20 20

(विनियम 6 तथा 10ख / Regulations 6 and 10B)

रजिस्ट्रीकरण प्रमाणपत्र  
CERTIFICATE OF REGISTRATION

बोर्ड, भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992 के अधीन बनाये गये नियमों और विनियमों के साथ पठित उस अधिनियम की धारा 12 की उप-धारा (1) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए

In exercise of the powers conferred by sub-section (1) of section 12 of the Securities and Exchange Board of India Act, 1992, read with the rules and regulations made thereunder, the Board hereby grants a certificate of registration to

GOENKA SECURITIES PVT. LTD.  
Trade Name: GOENKA SECURITIES PVT. LTD.  
G-51, FORTUNE CHAMBERS  
6, LYONS RANGE  
KOLKATA  
WEST BENGAL  
700001

को प्रतिभूतियों में क्रय, विक्रय या व्यौहार / व्यापारों के समाशोधन तथा निपटान के क्रियाकलाप करने के लिए और ऐसे अन्य क्रियाकलाप जो स्टॉक एक्सचेंज (एक्सचेंजों) / समाशोधन निगम (निगमों) द्वारा अनुज्ञात हों करने के लिए, बोर्ड द्वारा, समय-समय पर, उसके लिए विनिर्दिष्ट शर्तों के अधीन, स्टॉक दलाल / सांपत्तिक व्यापारिक सदस्य / समाशोधन सदस्य के रूप में रजिस्ट्रीकरण प्रमाणपत्र एतद्वारा प्रदान करता है।

as a

Stock Broker

stock broker / proprietary trading member / clearing member for carrying on the activities of buying, selling or dealing in securities / clearing and settlement of trades and for carrying on such other activities as are permitted by stock exchange(s)/ clearing corporation(s), subject to the conditions specified therefor, from time to time, by the Board.

आबंटित रजिस्ट्रीकरण संख्या निम्नानुसार है / Registration number allotted is as under: INZ000265139

यह प्रमाणपत्र तब तक विधिमाम्य रहेगा जब तक यह विनियमों के अनुसार निलंबित या रद्द नहीं हो जाता।

This certificate shall be valid till it is suspended or cancelled in accordance with the Regulations.



तारीख / Date : June 12, 2019

आदेश द्वारा / By order  
भारतीय प्रतिभूति और विनियम बोर्ड के लिए और की ओर से  
For and on behalf of Securities and Exchange Board of India

*Anupma Chadha*

ANUPMA CHADHA

प्राधिकृत हस्ताक्षरकर्ता Authorised Signatory