

# The Calcutta Stock Exchange Ltd.

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Website : [www.cse-india.com](http://www.cse-india.com), E-mail : [cseadm@se-india.com](mailto:cseadm@se-india.com)  
CIN: U67120WB1923PLC004707

Date: 30.07.2019

## NOTICE

**Re: Declaration of Mr. Kamal Kumar Kothari, SEBI Registration No. INB030951718 Member of The Calcutta Stock Exchange Ltd. and one of the qualifying director as defaulter as well as expelled in pursuant to M/s Guinness Securities bearing SEBI Registration No. INZ000167037 Ltd being declared defaulter / expelled from membership in NSE**

The members / investors of this Exchange are hereby informed that NSE vide its letter Ref. No. NSE/COMP/DEF-EXP/80425, dated 07<sup>th</sup> May, 2019 had declared M/s Guinness Securities Ltd having SEBI Registration No. INZ000167037 as defaulter in terms of their Bye Laws 1 (a) of Chapter XII and expelled from membership under Rules 1 and 2 of Chapter IV of the NSEIL Rules w.e.f. 06<sup>th</sup> May, 2019.

In pursuant, to declaration of M/s Guinness Securities Ltd. as defaulter and expulsion from membership by NSE. Mr. Kamal Kumar Kothari having SEBI Registration No. INB030951718 member of The Calcutta Stock Exchange Ltd and one of the qualifying director of M/s Guinness Securities Ltd is also declared defaulter and expelled from The Calcutta Stock Exchange Ltd as per the Chapter XV (2) of the Bye Laws of The Calcutta Stock Exchange Ltd.

Members and Investors having any outstanding claim against Mr. Kamal Kumar Kothari, qualifying director of M/s Guinness Securities Ltd are advised to file their claims with the Exchange, if they so desire, within 30 days from the date of issue of the notice i.e., by 30<sup>th</sup> August, 2019 as provided under SEBI Circular No. MRD/DoP/SE/CIR-38/2004, dated 28<sup>th</sup> October, 2004, Circular No. MRD/DP/06/2011, dated 16<sup>th</sup> June, 2011, Circular No. SEBI/HO/DMS/CIR/P/2017/15, dated 23<sup>rd</sup> February, 2017 and any other notifications / circulars as may be issued by SEBI in this regard, from time to time.

Further, the investors are hereby advised to go through the norms for eligibility of claims for compensation from IPF to the client which are available in the Exchange Bye Laws viz., published in the official website of the Exchange [www.cse-india.com](http://www.cse-india.com)

Any authorized person who are affiliated with the trading member are not authorized henceforth to deal in that capacity and their registration stands withdrawn.

  
Prasenjit Dutta  
Chief Financial Officer

- 1) ITD
- 2) Manager (Surveillance)
- 3) Chief Financial Officer (Accounts)
- 4) MOP
- 5) Securities
- 6) MOP Funds
- 7) Margin
- 8) Business Development
- 9) Samar Ghosh (Membership)
- 10) Back Office