

Dated: 12th May, 2023

NOTICE

Sub : Daily submission of Holding Statement, Bank Balances, Bank Book and Segregation and Monitoring of Collateral at Client Level - Penalty mechanism for EOD Short Allocation

Further, in connection to Exchange notice dated 08/02/2023 trading Members are hereby informed that the Exchange has received a BSE Notice No. 20230503-43 dated 03/05/2023 regarding Segregation and Monitoring of Collateral at Client Level - Penalty mechanism for EOD Short Allocation.

In this regards, Trading Member are requested to upload Holding Statement, Bank Balances, Bank Book and Client Collateral Segregation data (file format enclosed) on daily basis before 4.30 PM. With effect from 15/05/2023.

Trading Members are requested to take note of the contents of the notice and comply. Penalty to be imposed for non-compliance members.

Asis Maity
Manager-MOP

Encl:

- i) [Holding file](#)
- ii) [Bank Book File](#)
- iii) [Bank Balance File](#)
- iv) [Client Collateral Segregation File](#)