

Dated: 27th January, 2022

NOTICE

All Entities are hereby informed that the Exchange has received a Circular from SEBI Vide Ref. No. SEBI/HO/MRD1/MRD1_ICC1/P/CIR/2022/05 dated January 05, 2022 regarding Increasing Awareness regarding Online Mechanisms for Investor Grievance Redressal. The contents of the said circular are reproduced hereunder for your information.

Quote:

CIRCULAR

SEBI/HO/MRD1/MRD1_ICC1/P/CIR/2022/05

January 05, 2022

To,
The Managing Directors / Chief Executive Officers
All Recognized Stock Exchanges including Commodity Derivatives Exchanges/
Depositories / Clearing Corporations

Sir / Madam,

Subject: Increasing Awareness regarding Online Mechanisms for Investor Grievance Redressal

1. Investors are encouraged to lodge their complaints through online mechanisms more specifically through SCORES portal and SCORES mobile application for effective redressal of grievances.

2. In this regard, in order to increase the awareness regarding online grievance redressal mechanisms, all Recognized Stock Exchanges including Commodity Derivatives Exchanges/ Depositories / Clearing Corporations are advised to display the following on the home page of their websites and mobile apps:

2.1. link / option to lodge complaint with them directly.

2.2. link to SCORES website/ link to download SCORES mobile app.

3. All Recognized Stock Exchanges including Commodity Derivatives Exchanges/ Depositories / Clearing Corporations are advised to:

3.1. Make necessary amendments to the relevant bye-laws, rules and regulations.

3.2. Communicate to SEBI, the status of the implementation of the provisions of this circular through the Monthly Development Report (MDR).

4. This circular is issued in exercise of the powers conferred under Section 11(1) of the Securities and Exchange Board of India Act 1992 read with Section 10 of the Securities

Contracts (Regulation) Act, 1956 to protect the interests of investors in securities and to promote the development of, and to regulate the securities market.

5. This circular is available on SEBI website at www.sebi.gov.in under the category "Circulars".

Yours faithfully,
Nirdosh Rajan Minz
Deputy General Manager
Market Regulation Department
Email: nirdoshrm@sebi.gov.in

Unquote: -

All Entities are requested to take note of the aforesaid guidelines of SEBI.

Dhiraj Chakraborty
Compliance Officer