

NOTICE

Dated: 30th May, 2019

Trading Members are hereby informed that the Exchange has received a Circular from SEBI Vide Ref. No. SEBI/HO/DDHS/DDHS/CIR/P/2019/59, dated April 23, 2019 regarding Combating Financing of Terrorism (CFT) under Unlawful Activities (Prevention) Act, 1967–Directions to stock exchanges, depositories and all registered intermediaries. The contents of the said circular are reproduced hereunder for information of trading members.

Quote: -

CIRCULAR

SEBI/HO/MIRSD/DOP/CIR/P/2019/69

May 28, 2019

To,

All Intermediaries registered with SEBI under Section 12 of the Securities and Exchange Board of India Act, 1992

(Through the stock exchanges for stock brokers, depositories for depository participants and Association of Mutual Funds in India (AMFI) for asset management companies)

Dear Sir / Madam,

Sub: Combating Financing of Terrorism (CFT) under Unlawful Activities (Prevention) Act, 1967 –Directions to stock exchanges, depositories and all registered intermediaries

1. The Government had issued an Order dated August 27, 2009 detailing the procedure for implementation of Section 51A of the Unlawful Activities (Prevention) Act, 1967 (UAPA), relating to the purpose of prevention of, and for coping with terrorist activities. Accordingly SEBI had issued circular ISD/AML/CIR-2/2009 dated October 23, 2009 on “Combating Financing of Terrorism (CFT) under Unlawful Activities (Prevention) Act, 1967” and subsequently master circular SEBI/HO/MIRSD/DOS3/CIR/P/2018/104 dated July 04, 2018 on “Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under”.

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2. In view of the reorganization of Divisions in the Ministry of Home Affairs and allocation of work relating to countering of terror financing to the Counter Terrorism and Counter

Radicalization (CTCR) Division, the Government has modified the earlier order dated August 27, 2009 by the order dated March 14, 2019 **(Copy Enclosed)** for strict compliance.

3. The enclosed order issued by GOI in relation to implementation of Section 51A of the UAPA, 1967 is brought to notice for strict and meticulous compliance.

Yours faithfully,
D Rajesh Kumar
General Manager ebi.gov.in

Unquote: -

Trading Members are requested to take note of the aforesaid guidelines of SEBI and act accordingly.

Dhiraj Chakraborty
Deputy General Manager

Enclosed : [Copy of ORDER](#)