

THE UNITED PROVINCES SUGAR CO. LTD.

C/o. 401/405, Jolly Bhavan 1,
10, New Marine Lines,
Mumbai - 400 020.
Tel. : (022) 2200 3231 / 4325 / 5056
Fax : (022) 2206 0745
Email : upsc Mumbai@mtnl.net.in
newindia@bom5.vsnl.net.in

Ref. No.: UPSCL/MUM/518

2nd January, 2014

The Secretary,
The Calcutta Stock Exchange Ltd.,
7, Lyons Range,
Kolkata - 700 001

Scrip Code No.: 031177

Dear Sirs,

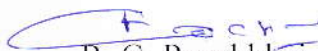
Sub.: Distribution of shareholding as on quarter ending 31st December, 2013

Pursuant to Clause 35 of the Listing Agreement, we enclose herewith distribution of shareholding as on quarter ending 31st December, 2013.

Kindly take the same on your record and acknowledge.

Thanking you,

Yours sincerely,
For The United Provinces Sugar Co. Ltd.


R. G. Panchbhai
Financial Controller

Encl: As above



Mills : Tamkuhi Road Stn. N. E. Rly., P. O. Seorahi 274 406. Dist. Kushinagar (U.P.). Tel. : 262029 / 262008 Fax : (05564) 262359. E-mail: upsugar@rediffmail.com
Regd. Office : Chartered Bank Building, 1st Floor, 4, Netaji Subhash Road, Kolkata-700 001. Tel.: 22420591, Fax : (033) 22420592. Email : upsc Kolkata@vsnl.net

	INSOU4/640366152	41,460	1.63
	Sub Total : PROMOTERS	2,419,566	94.88
7	RESIDENT INDIVIDUALS	117,226	4.60
	Grand Total	2,550,000	100.00

Percentage to capital
0.01
0.01
0.00
0.00
0.04
0.04
0.08
0.03
0.02
0.02
0.02
0.00
0.01
0.00
0.12
0.23
0.00
0.00
0.02
0.00
0.04
0.00
0.03
0.11
0.02
0.20
0.02
0.02
0.01
0.00
0.00
83.42
5.76
0.06
0.06
0.47
3.15
0.29

THE UNITED PROVINCES SUGAR COMPANY LTD

SHP Annexure Listing

Company Series : UP

ISIN : INE509E01014

Capital : 2550000

Effective Date : 31-DECEMBER-2013

Sr	Name of shareholder	Beneficiary account	Total Number of shares	Percentage to capital
1	NON-RESIDENTS WITHOUT REPATRIATION			
1	RAHIL HASANI BHATRI	IN30115121030906	200	0.01
	Sub Total : NON-RESIDENTS WITHOUT REPATRIATION		200	0.01
2	NON-RESIDENTS WITH REPATRIATION(NRE)			
1	HENRY PATTERSON CARSE	UPC00000056	2	0.00
	Sub Total : NON-RESIDENTS WITH REPATRIATION(NRE)		2	0.00
3	INSURANCE COMPANIES			
1	LIFE INSURANCE CORPORATION OF INDIA	IN30081210000012	1,000	0.04
2	THE LIFE INSURANCE CORPORATION OF	UPL00000028	1,000	0.04
	Sub Total : INSURANCE COMPANIES		2,000	0.08
4	NATIONALISED BANKS			
1	ALLAHABAD BANK	UPA00000045	800	0.03
2	BANK OF BARODA	UPB00000022	400	0.02
3	BANK OF INDIA	IN30074910054215	550	0.02
4	BANK OF INDIA	UPB00000026	550	0.02
5	CENTRAL BANK OF INDIA	UPC00000012	98	0.00
6	INDIAN OVERSEAS BANK	UPI00000012	300	0.01
7	PUNJAB NATIONAL BANK	UPP00000052	100	0.00
8	UCO BANK	UPU00000010	3,000	0.12
	Sub Total : NATIONALISED BANKS		5,798	0.23
5	BODIES CORPORATE			
1	BAJORIA FINANCE PVT LTD	UPB0000106	1	0.00
2	BAJORIA FINANCE PVT LTD	1203460000427376	1	0.00
3	M/S KHAITAN CONSULTANTS LTD	UPK00000079	400	0.02
4	MODY INVESTMENT & MFG CO (P)LTD	UPM0000145	2	0.00
5	PLACID LIMITED	IN30068510650512	900	0.04
6	SAVITA INVESTMENT CO LTD	UPS0000128	4	0.00
7	ST HELEN'S NOMINEES INDIA PVT LT	UPS0000108	800	0.03
8	THE BOND COMPANY LTD	IN30036022495322	2,700	0.11
9	THE CENTRAL BANK EXECUTOR & TRUSTEE CO. LTD.	UPC00000087	400	0.02
	Sub Total : BODIES CORPORATE		5,208	0.20
6	PROMOTERS			
1	ARVIND K KANORIA	UPK00000097	400	0.02
2	ARVIND K KANORIA	UPK00000099	420	0.02
3	ARVIND K KANORIA	UPK0000102	200	0.01
4	ARVIND K KANORIA	UPA00000213	100	0.00
5	ARVIND K KANORIA	UPA00000215	100	0.00
6	ARVINDKUMAR KANORIA	IN30047640366178	2,127,288	83.42
7	KAABIL TRADERS PVT LTD	UPK0000108	147,000	5.76
8	KAABIL TRADERS PVT LTD	UPK0000109	1,500	0.06
9	KAABIL TRADERS PVT LTD	UPK0000110	1,500	0.06
10	PARITOSH ARVIND KANORIA	IN30154937595325	12,000	0.47
11	SHIKHA ARVIND KANORIA	IN30154937596529	80,320	3.15
12	SHIKHA KANORIA	IN30133019953067	7,278	0.29
13	VINEETA KANORIA	IN30047640366152	41,460	1.63
	Sub Total : PROMOTERS		2,419,566	94.88
7	RESIDENT INDIVIDUALS			
	Grand Total		117,226	4.60
			2,550,000	100.00

THE UNITED PROVINCES SUGAR COMPANY LTD

CI-35 report: (I) (a) Statement showing Shareholding Pattern

Company Series : UP
 ISIN : INE509E01014 Capital : 2550000 Class of Security : Equity
 Scrip Code : --- Scrip Symbol : ---
 Quarter ended: 31st December, 2013

Quarter ended: 31st December, 2013									
Partly paid-up shares:-				No. of partly paid-up shares	As a % of total no. of partly paid-up shares		As a % of total no. of shares of the company		
Held by Promoter / Promoter Group				0	0.00		0.00		
Held by public				0	0.00		0.00		
Total									
Outstanding convertible securities:-				No. of outstanding securities	As a % of total No. of outstanding convertible securities		As a % of total no. of shares of the company, assuming full conversion of the convertible securities		
Held by Promoter / Promoter Group				0	0.00		0.00		
Held by public				0	0.00		0.00		
Total									
Warrants:-				No. of warrants	As a % of total no. of warrants		As a % of total no. of shares of the company, assuming full conversion of warrants		
Held by Promoter / Promoter Group				0	0.00		0.00		
Held by public				0	0.00		0.00		
Total				0	0.00		0.00		
Total paid-up capital of the company assuming full conversion of warrants and convertible securities				0					
Category code (I)	Category of Shareholder (II)	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialised form (V)	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered		
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a Percentage(IX) = (VIII) / (IV)*100	
(A)	Shareholding of Promoter and Promoter Group								
(1)	Indian								
(a)	Individuals / Hindu Undivided Family	10	2,269,566	2,268,346	89.00	89.00	0	0.00	
(b)	Cental Government / State Governments(s)	0	0	0	0.00	0.00	0	0.00	
(c)	Bodies Corporate	3	150,000	0	5.88	5.88	0	0.00	
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00	
(e)	Any other (specify)	0	0	0	0.00	0.00	0	0.00	
	Sub-Total (A) (1)	13	2,419,566	2,268,346	94.88	94.88	0	0.00	
(2)	Foreign								
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00	
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00	
(c)	Institutions	0	0	0	0.00	0.00	0	0.00	
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	
(d)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00	
	Sub-Total (A) (2)	0	0	0	0.00	0.00	0		
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	13	2,419,566	2,268,346	94.88	94.88	0	0.00	
(B)	Public Shareholding						NA	NA	
(1)	Institutions						NA	NA	
(a)	Mutual Funds / UTI	0	0	0	0.00	0.00			
(b)	Financial Institutions / Banks	8	5,798	550	0.23	0.23			
(c)	Cental Government / State Governments(s)	0	0	0	0.00	0.00			
(d)	Venture Capital Funds	0	0	0	0.00	0.00			
(e)	Insurance Companies	2	2,000	1,000	0.08	0.08			
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00			
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00			
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00			
(i)	Any Other (Specify)	0	0	0	0.00	0.00			
	Sub-Total (B) (1)	10	7,798	1,550	0.31	0.31			
(2)	Non-Institutions						NA	NA	
(a)	Bodies Corporate	9	5,208	3,601	0.20	0.20			
(b)	Individuals								
(i)	Individual Shareholders holding nominal Share Capital upto Rs.1 Lakh	242	117,428	21,346	4.61	4.61			
(ii)	Individual Shareholders holding nominal Share Capital in excess of Rs.1 Lakh	0	0	0	0.00	0.00			
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00			
(d)	Any Other (Specify)	0	0	0	0.00	0.00			
	Sub-total (B) (2)	251	122,636	24,947	4.81	4.81			
	Total Public Shareholding (B) = (B)(1)+(B)(2)	261	130,434	26,497	5.12	5.12	NA	NA	
	TOTAL (A)+(B)	274	2,550,000	2,294,843	100.00	100.00			
(C)	Shares held by Custodians against which DRs are issued (GDR)						NA	NA	
(1)	Promoter and Promoter Group	0	0	0		0.00			
(2)	Public	0	0	0		0.00			
	GRAND TOTAL (A)+(B)+(C)	274	2,550,000	2,294,843		100.00			

(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No. (I)	Name of the shareholder (II)	Details of Shares held		Encumbered Shares			Details of warrants			Details of convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
		No. of Shares held (III)	As a % of Grand Total (A)+(B)+(C) (IV)	Number of Shares (V)	As a Percentage (VI)=(V)/(III) *100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) (VII)	No. of warrants held (VIII)	As a % of total numbers of warrants of the same class (IX)	No. of convertible securities held (X)	As a % of total convertible securities of same class (XI)		
1	ARVIND K. KANORIA	2128508	83.47	0	0.00	0.00	0	0.00	0	0.00	83.47	
2	KAABIL TRADERS PVT LTD	150000	5.88	0	0.00	0.00	0	0.00	0	0.00	5.88	
3	SHIKHA KANORIA	87598	3.44	0	0.00	0.00	0	0.00	0	0.00	3.44	
4	VINEETA KANORIA	41460	1.63	0	0.00	0.00	0	0.00	0	0.00	1.63	
5	PARITOSH ARVIND KANORIA	12000	0.47	0	0.00	0.00	0	0.00	0	0.00	0.47	
	TOTAL	2419566	94.88	0	0.00	0.00	0	0.00	0	0.00	94.88	

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A) + (B) + (C) indicated in Statement at para (1)(a) above}	Details of warrants		Details of convertible Securities		Total shares(including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % of total numbers of warrants of the same class	No. of convertible securities held	% w.r.t. total numbers of convertible securities of same class	
1	N.A.							
2	N.A.							
TOTAL				0	0.00	0	0	0.00

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above}	Details of warrants		Details of convertible Securities		Total shares(including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No.of warrants held	As a % of total numbers of warrants of the same class	No.of convertible securities held	% w.r.t. total convertible securities of same class	
1								
2								
TOTAL		0	0.00	0	0.00	0	0	0.00

CLAUSE 35 OF EQUITY LISTING AGREEMENT
 Name of the Company : THE UNITED PROVINCES SUGAR COMPANY LIMITED
 Quarter ended: 31st December, 2013
 Scrip Code :

(I) (d) Statement showing details of locked-in-shares

Sr. No.	Name of the shareholder	Category of Shareholders (Promoters)	Number of Locked-in Shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above}
1				
2				
TOTAL				N.A.

(II) (a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of Shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above}
1				
2				
TOTAL				N.A.

(II) (b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter Group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of Shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above}
1				
2				
TOTAL				N.A.

Statement showing the voting pattern of shareholders, if more than one class of shares / securities is issued by the (III) (a) issuer.

Category y code (I)	Category of Shareholder (II)	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentag e of (A+B)	As a percentage of (A+B+C) (VII)
(A)	Shareholding of Promoter and Promoter Group						
(1)	Indian						
(a)	Individuals / Hindu Undivided Family						
(b)	Central Government / State Governments(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions / Banks						
(e)	Any Other (Trust)						
	Sub-Total (A) (1)	0	0	0	0.00	0.00	0.00
(2)	Foreign						
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)						
(b)	Bodies Corporate						
(c)	Institutions						
(d)	Any Other (specify)						
	Sub-Total (A) (2)	0	0	0	0.00	0.00	0.00
	Total Shareholding of Promoter and	0	0	0	0.00	0.00	0.00
(B)	Public Shareholding						
(1)	Institutions						
(a)	Mutual Funds / UTI						
(b)	Financial Institutions / Banks						
(c)	Central Government / State Governments(s)						
(d)	Venture Capital Funds						
(e)	Insurance Companies						
(f)	Foreign Institutional Investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	Sub-Total (B) (1)	0	0	0	0.00	0.00	0.00
(2)	Non-Institutions						
(a)	Bodies Corporate						
(b)	Individuals -						
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh						
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh						
(c)	Any Other (specify)						
i	Trusts						
ii	Foreign Corporate Bodies						
	Sub-total (B) (2)	0	0	0	0.00	0.00	0.00
	Total Public Shareholding (B) = (B)(1)+(B)(2)	0	0	0	0.00	0.00	0.00
	TOTAL (A)+(B)	0	0	0	0.00	0.00	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
	GRAND TOTAL (A)+(B)+(C)	0	0	0	0.00	0.00	0.00

Note

Only one class of Securities is issued by the Company, hence column (III) (a) is not applicable