

Regd. ALD



# UNIVERSAL PRIME ALUMINIUM LIMITED

(FORMERLY : UNIVERSAL CANS AND CONTAINERS LTD.)

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October 14, 2013

To  
The Calcutta Stock Exchange Ltd.,  
7, Lyons Range,  
Kolkata - 700 001.

Dear Sir,

**Sub: Submission of Quarterly Report on Shareholding Pattern for the quarter ended September 30, 2013:**

As per the requirements of the Listing Agreement, we furnish herewith a copy of the Shareholding Pattern under clause 35 of the Listing Agreement for the quarter ended September 30, 2013.

This is for your information and records.

Thanking you,

Yours faithfully,

For UNIVERSAL PRIME ALUMINIUM LIMITED

1317342

DIRECTOR/AUTHORISED SIGNATORY

Encl.: as above

	The Calcutta Stock Exchange Limited	
	Listing Department	
	Received	
	Contents not verified	
Sl. No. ....		Dated 21/10/2013
Signature .....		

## Introductory sub-table (I)(a)

Name of the Company: UNIVERSAL PRIME ALUMINIUM LIMITED			
Scrip Code, Name of the scrip, class of security: BSE - 504673, EQUITY			
Quarter ended: 30th September, 2013			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities		7967443	





### Statement Showing Shareholding Pattern

Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	5	1654163	1654163	20.7615	20.7615	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	6	2169615	2169615	27.2310	27.2310	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(1)</b>	<b>11</b>	<b>3823778</b>	<b>3823778</b>	<b>47.9925</b>	<b>47.9925</b>	<b>0</b>	<b>0.00</b>
<b>2</b>	<b>Foreign</b>							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of</b>	<b>11</b>	<b>3823778</b>	<b>3823778</b>	<b>47.9925</b>	<b>47.9925</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Public shareholding</b>							
<b>1</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	2	123800	119200	1.5538	1.5538	0	0.00
(b)	Financial Institutions / Banks	2	2594	1944	0.0326	0.0326	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00





(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(i)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub-Total (B)(1)</b>	<b>4</b>	<b>126394</b>	<b>121144</b>	<b>1.5864</b>	<b>1.5864</b>	<b>0</b>	<b>0.00</b>
<b>B 2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	91	266151	88677	3.3405	3.3405	0	0.00
(b)	Individuals				0.00	0.00		
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	28616	3132688	892351	39.3186	39.3186	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	13	615343	591150	7.7232	7.7232	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(d)	Any Other (specify)				0.00	0.00		
(d-i)	Non Resident Individual	9	3089	1089	0.0388	0.0388	0	0.00
	<b>Sub-Total (B)(2)</b>	<b>28729</b>	<b>4017271</b>	<b>1573267</b>	<b>50.4211</b>	<b>50.4211</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>28733</b>	<b>4143665</b>	<b>1694411</b>	<b>52.0075</b>	<b>52.0075</b>	<b>0</b>	<b>0.00</b>
	<b>TOTAL (A)+(B)</b>	<b>28744</b>	<b>7967443</b>	<b>5518189</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group					0.00		0.00
2	Public					0.00		0.00
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>	
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>28744</b>	<b>7967443</b>	<b>5518189</b>	<b>100.000</b>	<b>100.000</b>	<b>0</b>	<b>0.00</b>
<b>Sr.No</b>	<b>Notes</b>							
1								
2								



(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

A. Individual/ Hindu Undivided Family

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	PRAKASH KUMAR MOHTA	1472370	18.4798	0	0.00	0.00	0	0	0	0	18.4798
2	MAITREYI KANDOI	99629	1.2505	0	0.00	0.00	0	0	0	0	1.2505
3	JAYANTIKA MOHTA	82164	1.0312	0	0.00	0.00	0	0	0	0	1.0312
TOTAL		1654163	20.7615	0	0.00	0.00	0	0	0	0	20.7615

B. Bodies Corporate

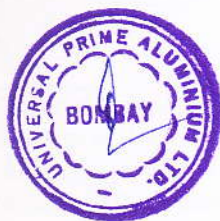
Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including und
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Pratibha Manufacturing & Marketing Ltd.	948025	11.8987	0	0	0	0	0	0	0	11.8987
2	Pee Bee Steel Industries Limited	718645	9.0198	0	0	0	0	0	0	0	9.0198
3	Avadh Merchantile Company Ltd.	321483	4.0350	0	0	0	0	0	0	0	4.0350
4	Universal Autocrafts Pvt. Ltd.	96228	1.2078	0	0	0	0	0	0	0	1.2078
5	Universal Enterprises Ltd.	67340	0.8452	0	0	0	0	0	0	0	0.8452
6	Unique Manufacturing & Marketing Ltd.	17894	0.2246	0	0	0	0	0	0	0	0.2246
TOTAL		2169615	27.2311	0	0.00	0	0	0	0	0	27.2311





(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities)
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	PRATIBHA KHAITAN	175271	2.1998	0	0	0	0	2.1998
2	CANARA ROBECO MUTUAL FUND A/C GAD	119200	1.4961	0	0	0	0	1.4961
3	P. P. PACKAGING PRIVATE LIMITED	106760	1.3400	0	0	0	0	1.3400
4	HITESH RAMJI JAVERI	100101	1.2564	0	0	0	0	1.2564
	i) Jt-1: Radhabai Ramji Javeri							
	ii) Jt -2: Harsha Hitesh Javeri							
5	BHUPENDRA V GANDHI	99131	1.2442	0	0	0	0	1.2442
	Jh-1 SAROJ B GANDHI							
<b>TOTAL</b>		<b>600463</b>	<b>7.5365</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>7.5365</b>



(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares(including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NOT APPLICABLE	0	0.00	0	0	0	0	0
2			0.00		0		0	
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a)}
1	NOT APPLICABLE	0	0.00
2			0.00
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NOT APPLICABLE	0	0	0.00
TOTAL		0	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NOT APPLICABLE	0	0	0.00
2				0.00
TOTAL			0	0.00

