

# TRIBUTE TRADING AND FINANCE LIMITED

Regd. Office: 3, Bentinck Street, 2<sup>nd</sup> Floor, Kolkata – 700 001  
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15.10.2013

To,  
The Secretary  
The Calcutta Stock Exchange Ltd.  
7, Lyons Range  
Kolkata – 700 001

**Scrip Code: 10030286**

**Re : Shareholding Pattern under Clause 35 of the Listing Agreement for the Quarter ended 30.09.2013**

With reference to the above, enclosed please find herewith Shareholding Pattern for the quarter ended 30.09.2013.

Yours Faithfully,  
For Tribute Trading and Finance Limited

*Pritika Bajonia*

Company Secretary

Enclosed: As stated above.



## Introductory sub-table (I)(a)

of the Company: TRIBUTE TRADING AND FINANCE LTD.

Code, Name of the scrip, class of security: 10030286, TRIBUTE TRADING AND FINANCE LTD., EQUITY SHARES

Period Ended 30th September, 2013

| Partly paid-up shares:-         | No. of partly paid-up shares | As a % of total no. of partly paid-up shares | As a % of total no. of shares of the company |
|---------------------------------|------------------------------|----------------------------------------------|----------------------------------------------|
| Held by promoter/promoter group | NIL                          | NIL                                          | NIL                                          |
| Held by public                  | NIL                          | NIL                                          | NIL                                          |
| <b>Total - D</b>                | <b>NIL</b>                   | <b>NIL</b>                                   | <b>NIL</b>                                   |

| Outstanding convertible securities:- No. of outstanding securities | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
|--------------------------------------------------------------------|-----------------------------------------------------------|------------------------------------------------------------------------------------------------------|
| Held by promoter/promoter group                                    | NIL                                                       | NIL                                                                                                  |
| Held by public                                                     | NIL                                                       | NIL                                                                                                  |
| <b>Total - E</b>                                                   | <b>NIL</b>                                                | <b>NIL</b>                                                                                           |

| Warrants:-                      | No. of warrants | As a % of total no. of warrants | As a % of total no. of shares of the company, assuming full conversion of warrants |
|---------------------------------|-----------------|---------------------------------|------------------------------------------------------------------------------------|
| Held by promoter/promoter group | NIL             | NIL                             | NIL                                                                                |
| Held by public                  | NIL             | NIL                             | NIL                                                                                |
| <b>Total - F</b>                | <b>NIL</b>      | <b>NIL</b>                      | <b>NIL</b>                                                                         |

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities

60,00,000 Equity Shares of Rs.10.00 each, fully paid-up





**Statement Showing Shareholding Pattern**

| S. No.     | Category of Shareholder                                                               | Number of Shareholders | Table (I)(a)           |                                              | Total shareholding as a percentage of total number of shares |                            | Shares Pledged or otherwise encumbered |                        |
|------------|---------------------------------------------------------------------------------------|------------------------|------------------------|----------------------------------------------|--------------------------------------------------------------|----------------------------|----------------------------------------|------------------------|
|            |                                                                                       |                        | Total number of shares | Number of shares held in dematerialized form | As a percentage of (A+B) <sup>1</sup>                        | As a percentage of (A+B+C) | Number of shares                       | As a percentage        |
| (I)        | (II)                                                                                  | (III)                  | (IV)                   | (V)                                          | (VI)                                                         | (VII)                      | (VIII)                                 | (IX) = (VIII)/(IV)*100 |
| <b>(A)</b> | <b>Shareholding of Promoter and</b>                                                   |                        |                        |                                              |                                                              |                            |                                        |                        |
| <b>1</b>   | <b>Indian</b>                                                                         |                        |                        |                                              |                                                              |                            |                                        |                        |
| (a)        | Individuals/ Hindu Undivided Family                                                   | 1                      | 50000                  | 50000                                        | 0.83                                                         | 0.83                       | 0.00                                   | 0.00                   |
| (b)        | Central Government/ State Government(s)                                               | 0.00                   | 0.00                   | 0.00                                         | 0.00                                                         | 0.00                       | 0.00                                   | 0.00                   |
| (c)        | Bodies Corporate                                                                      | 1                      | 1100000                | 1100000                                      | 18.33                                                        | 18.33                      | 0.00                                   | 0.00                   |
| (d)        | Financial Institutions/ Banks                                                         | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       | 0                                      | 0.00                   |
| (e)        | Any Others(Specify)                                                                   | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       | 0                                      | 0.00                   |
| (e-i)      |                                                                                       | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       | 0                                      | 0.00                   |
| (e-ii)     |                                                                                       | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       | 0                                      | 0.00                   |
|            | <b>Sub Total(A)(1)</b>                                                                | <b>2</b>               | <b>1150000</b>         | <b>1150000</b>                               | <b>19.17</b>                                                 | <b>19.17</b>               | <b>0</b>                               | <b>0.00</b>            |
| <b>2</b>   | <b>Foreign</b>                                                                        |                        |                        |                                              |                                                              |                            |                                        |                        |
| a          | Individuals (Non-Residents Individuals/ Foreign Individuals)                          | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       | 0                                      | 0.00                   |
| b          | Bodies Corporate                                                                      | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       | 0                                      | 0.00                   |
| c          | Institutions                                                                          | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       | 0                                      | 0.00                   |
| d          | Any Others(Specify)                                                                   | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       | 0                                      | 0.00                   |
| d-i        |                                                                                       | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       | 0                                      | 0.00                   |
| d-ii       |                                                                                       | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       | 0                                      | 0.00                   |
|            | <b>Sub Total(A)(2)</b>                                                                | <b>0</b>               | <b>0</b>               | <b>0</b>                                     | <b>0.00</b>                                                  | <b>0.00</b>                | <b>0</b>                               | <b>0.00</b>            |
|            | <b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>           | <b>2</b>               | <b>1150000</b>         | <b>1150000</b>                               | <b>19.17</b>                                                 | <b>19.17</b>               | <b>0</b>                               | <b>0.00</b>            |
| <b>(B)</b> | <b>Public shareholding</b>                                                            |                        |                        |                                              |                                                              |                            |                                        |                        |
| <b>1</b>   | <b>Institutions</b>                                                                   |                        |                        |                                              |                                                              |                            |                                        |                        |
| (a)        | Mutual Funds/ UTI                                                                     | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
| (b)        | Financial Institutions/ Banks                                                         | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
| (c)        | Central Government/ State Government(s)                                               | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
| (d)        | Venture Capital Funds                                                                 | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
| (e)        | Insurance Companies                                                                   | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
| (f)        | Foreign Institutional Investors                                                       | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
| (g)        | Foreign Venture Capital Investors                                                     | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
| (h)        | Any Other (specify)                                                                   | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
| (h-i)      |                                                                                       | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
| (h-ii)     |                                                                                       | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
|            | <b>Sub-Total (B)(1)</b>                                                               | <b>0</b>               | <b>0</b>               | <b>0</b>                                     | <b>0.00</b>                                                  | <b>0.00</b>                |                                        |                        |
| <b>B 2</b> | <b>Non-institutions</b>                                                               |                        |                        |                                              |                                                              |                            |                                        |                        |
| (a)        | Bodies Corporate                                                                      | 30                     | 1100400                | 400                                          | 18.34                                                        | 18.34                      |                                        |                        |
| (b)        | Individuals                                                                           |                        |                        |                                              |                                                              |                            |                                        |                        |
| I          | Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh | 304                    | 65820                  | 10020                                        | 1.10                                                         | 1.10                       |                                        |                        |
| II         | ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.    | 160                    | 3683780                | 1580                                         | 61.40                                                        | 61.40                      |                                        |                        |
| (c)        | Any Other (specify)                                                                   | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
| (e-i)      |                                                                                       | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
| (e-ii)     |                                                                                       | 0                      | 0                      | 0                                            | 0.00                                                         | 0.00                       |                                        |                        |
|            | <b>Sub-Total (B)(2)</b>                                                               | <b>494</b>             | <b>4850000</b>         | <b>12000</b>                                 | <b>80.83</b>                                                 | <b>80.83</b>               |                                        |                        |
| <b>(B)</b> | <b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>                                   | <b>494</b>             | <b>4850000</b>         | <b>12000</b>                                 | <b>80.83</b>                                                 | <b>80.83</b>               |                                        |                        |



|    |                                                                                  |     |         |         |        |        |   |      |
|----|----------------------------------------------------------------------------------|-----|---------|---------|--------|--------|---|------|
|    | TOTAL (A)+(B)                                                                    | 496 | 6000000 | 1162000 | 100.00 | 100.00 |   |      |
| 1) | Shares held by Custodians and against which Depository Receipts have been issued |     |         |         |        |        |   |      |
| 1  | Promoter and Promoter Group                                                      | 0   | 0       | 0       | 0      | 0.00   | 0 | 0.00 |
| 2  | Public                                                                           | 0   | 0       | 0       | 0      | 0.00   | 0 |      |
|    | Sub-Total (C)                                                                    | 0   | 0       | 0       | 0      | 0      | 0 |      |
|    | GRAND TOTAL (A)+(B)+(C)                                                          | 496 | 6000000 | 1162000 | 100.00 | 100.00 | 0 | 0.00 |



| Sr.No | Notes                                                                |
|-------|----------------------------------------------------------------------|
| 1     |                                                                      |
| 2     | For determining public shareholding for the purpose of Clause 40A    |
| 3     | For definitions of Promoter and Promoter Group, refer to Clause 40A. |
|       | Public shareholding                                                  |
|       |                                                                      |
|       |                                                                      |
|       |                                                                      |
|       |                                                                      |
|       |                                                                      |
|       |                                                                      |
|       |                                                                      |





Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| No.          | Name of the shareholder          | Details of Shares held |                                   | Encumbered shares (*) |                    |                                                        | Details of warrants     |                                                   | Details of convertible securities     |                                                                 | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|----------------------------------|------------------------|-----------------------------------|-----------------------|--------------------|--------------------------------------------------------|-------------------------|---------------------------------------------------|---------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
|              |                                  | Number of shares held  | As a % of grand total (A)+(B)+(C) | No.                   | As a percentage    | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class |                                                                                                                                            |
| (I)          | (II)                             | (III)                  | (IV)                              | (V)                   | (VI)=(V)/(III)*100 | (VII)                                                  | (VIII)                  | (IX)                                              | (X)                                   | (XI)                                                            | (XII)                                                                                                                                      |
| 1            | MANOJ SETHIA                     | 50000                  | 0.83                              | 0                     | 0.00               | 0.00                                                   | 0                       | 0                                                 | 0                                     | 0                                                               | 0.83                                                                                                                                       |
| 2            | JAIN COMMODITY BROKING PVT. LTD. | 1100000                | 18.33                             |                       |                    |                                                        |                         |                                                   |                                       |                                                                 | 18.33                                                                                                                                      |
| <b>TOTAL</b> |                                  | <b>1150000</b>         | <b>19.17</b>                      | <b>0</b>              | <b>0.00</b>        | <b>0.00</b>                                            | <b>0</b>                | <b>0</b>                                          | <b>0</b>                              | <b>0</b>                                                        | <b>19.17</b>                                                                                                                               |

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.



(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the shareholder | Number of shares held | Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of warrants     |                                                   | Details of convertible securities     |                                                                  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|-------------------------|-----------------------|------------------------------------------------------------------------------------------------------------------------------|-------------------------|---------------------------------------------------|---------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
|         |                         |                       |                                                                                                                              | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class |                                                                                                                                            |
| 1       |                         |                       |                                                                                                                              | 0                       |                                                   | 0                                     |                                                                  |                                                                                                                                            |
| 2       |                         |                       |                                                                                                                              | 0                       |                                                   | 0                                     |                                                                  |                                                                                                                                            |
| 3       |                         |                       |                                                                                                                              | 0                       |                                                   | 0                                     |                                                                  |                                                                                                                                            |
| 4       |                         |                       |                                                                                                                              | 0                       |                                                   | 0                                     |                                                                  |                                                                                                                                            |
| 5       | A                       |                       |                                                                                                                              | 0                       |                                                   | 0                                     |                                                                  |                                                                                                                                            |
| 6       | N                       |                       |                                                                                                                              | 0                       |                                                   | 0                                     |                                                                  |                                                                                                                                            |
| 7       |                         |                       |                                                                                                                              | 0                       |                                                   | 0                                     |                                                                  |                                                                                                                                            |
| 8       |                         |                       |                                                                                                                              | 0                       |                                                   | 0                                     |                                                                  |                                                                                                                                            |
| 9       |                         |                       |                                                                                                                              | 0                       |                                                   | 0                                     |                                                                  |                                                                                                                                            |
| 10      |                         |                       |                                                                                                                              | 0                       |                                                   | 0                                     |                                                                  |                                                                                                                                            |
|         |                         |                       |                                                                                                                              | 0                       |                                                   | 0                                     |                                                                  |                                                                                                                                            |
|         |                         |                       |                                                                                                                              | 0                       |                                                   | 0                                     |                                                                  |                                                                                                                                            |
| TOTAL   |                         | 0                     | 0.00%                                                                                                                        | 0                       | 0                                                 | 0                                     | 0                                                                | 0                                                                                                                                          |



(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| Sr. No. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of warrants |                                                   | Details of convertible securities     |                                                                  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|---------------------------------------------------------------------------------|------------------|------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------------------------------------|---------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
|         |                                                                                 |                  |                                                                                                                              | Number of warrants  | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class |                                                                                                                                            |
| 1       | A<br>N                                                                          |                  |                                                                                                                              |                     | 0                                                 |                                       | 0                                                                |                                                                                                                                            |
| 2       |                                                                                 |                  |                                                                                                                              |                     | 0                                                 |                                       | 0                                                                |                                                                                                                                            |
| 3       |                                                                                 |                  |                                                                                                                              |                     | 0                                                 |                                       | 0                                                                |                                                                                                                                            |
| 4       |                                                                                 |                  |                                                                                                                              |                     | 0                                                 |                                       | 0                                                                |                                                                                                                                            |
| 5       |                                                                                 |                  |                                                                                                                              |                     | 0                                                 |                                       | 0                                                                |                                                                                                                                            |
| 6       |                                                                                 |                  |                                                                                                                              |                     | 0                                                 |                                       | 0                                                                |                                                                                                                                            |
| 7       |                                                                                 |                  |                                                                                                                              |                     | 0                                                 |                                       | 0                                                                |                                                                                                                                            |
| 8       |                                                                                 |                  |                                                                                                                              |                     | 0                                                 |                                       | 0                                                                |                                                                                                                                            |
| 9       |                                                                                 |                  |                                                                                                                              |                     | 0                                                 |                                       | 0                                                                |                                                                                                                                            |
| 10      |                                                                                 |                  |                                                                                                                              |                     | 0                                                 |                                       | 0                                                                |                                                                                                                                            |
| TOTAL   |                                                                                 | 0                | 0.00                                                                                                                         | 0                   | 0                                                 | 0                                     | 0                                                                |                                                                                                                                            |





(1)(d) Statement showing details of locked-in shares

| Sr. No.                | Name of the shareholder          | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above} |
|------------------------|----------------------------------|----------------------------|----------------------------------------------------------------------------------------------------------------------------------------|
| (1)                    | <b>Promoter:</b>                 |                            |                                                                                                                                        |
|                        | JAIN COMMODITY BROKING PVT. LTD. | 1100000                    | 18.33                                                                                                                                  |
|                        | Sub Total (1)                    | 1100000                    | 18.33                                                                                                                                  |
| (2)                    | <b>Non-Promoter:</b>             |                            |                                                                                                                                        |
|                        | Nil                              | 0                          | 0.00                                                                                                                                   |
|                        | Sub Total (2)                    | 0                          | 0.00                                                                                                                                   |
| <b>TOTAL (1) + (2)</b> |                                  | <b>1100000</b>             | <b>18.33</b>                                                                                                                           |



(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR<br>(ADRs, GDRs, SDRs, etc.) | Number of outstanding<br>DRs | Number of shares<br>underlying outstanding DRs | Shares underlying outstanding DRs as a<br>percentage of total number of shares<br>{i.e., Grand Total (A)+(B)+(C) indicated<br>in Statement at para D(a) above} |
|---------|----------------------------------------------------|------------------------------|------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1       |                                                    |                              |                                                |                                                                                                                                                                |
| 2       |                                                    |                              |                                                |                                                                                                                                                                |
| 3       |                                                    |                              |                                                |                                                                                                                                                                |
| 4       |                                                    |                              |                                                |                                                                                                                                                                |
| 5       |                                                    |                              |                                                |                                                                                                                                                                |
| 6       | A                                                  |                              |                                                |                                                                                                                                                                |
| 7       | N                                                  |                              |                                                |                                                                                                                                                                |
| 8       |                                                    |                              |                                                |                                                                                                                                                                |
| 9       |                                                    |                              |                                                |                                                                                                                                                                |
| TOTAL   |                                                    | 0                            | 0                                              | 0.00                                                                                                                                                           |





| Sr. No. | Name of the DR Holder | Type of outstanding DR<br>(ADRs, GDRs, SDRs,<br>etc.) | Number of shares<br>underlying outstanding<br>DRs | Shares underlying outstanding DRs as a<br>percentage of total number of shares {i.e.,<br>Grand Total (A)+(B)+(C) indicated in<br>Statement at para 1(a) above} |
|---------|-----------------------|-------------------------------------------------------|---------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1       |                       |                                                       |                                                   |                                                                                                                                                                |
| 2       |                       |                                                       |                                                   | 0.00                                                                                                                                                           |
| 3       |                       |                                                       |                                                   | 0.00                                                                                                                                                           |
| 4       |                       |                                                       |                                                   | 0.00                                                                                                                                                           |
| 5       |                       |                                                       |                                                   | 0.00                                                                                                                                                           |
| 6       | A                     |                                                       |                                                   | 0.00                                                                                                                                                           |
| 7       | N                     |                                                       |                                                   | 0.00                                                                                                                                                           |
| 8       |                       |                                                       |                                                   | 0.00                                                                                                                                                           |
| 9       |                       |                                                       |                                                   | 0.00                                                                                                                                                           |
|         |                       |                                                       |                                                   | 0.00                                                                                                                                                           |
|         |                       |                                                       |                                                   |                                                                                                                                                                |
| TOTAL   |                       |                                                       | 0                                                 | 0.00                                                                                                                                                           |