



TTI ENTERPRISE LIMITED

(Formerly Tycoon Trades & Investments Ltd.)

P-4, New Howrah Bridge Approach Road, G-59, Nandram Market

Kolkata - 700 001, Phone : +91 33 3022-9197

E-mail : tti1711@gmail.com

To
The Listing Department,
The Calcutta Stock Exchange Assn. Limited,
7 Lyons Range,
Kolkata

Date: 15th October, 2013

Dear Sir/ Madam,

In compliance with Clause 49 VI of the Listing Agreement, please find enclosed herewith the Corporate Governance Compliance Report for the Quarter ended 30th September, 2013.

Pursuant to Clause 35 of the Listing Agreement, please find enclosed herewith the share holding pattern of the company for the quarter ended 30th September, 2013.

Kindly take the aforesaid information in your records.

Thanking You,

Yours truly,

For TTI Enterprise Ltd.
For TTI ENTERPRISE LTD.



Director / Authorised Signatory

Binjal Mehta
Company Secretary



Quarterly Compliance Report on Corporate Governance

Name of the Company: - TTI Enterprise Ltd.

Quarter ending on: - 30th September, 2013

Particulars	Clause of Listing Agreement	Compliance Status (Yes/No)	Remarks
I Board of Directors	49I	Yes	
(A) Composition of Board	49 (IA)	Yes	
(B) Non-Executive Directors' compensation and disclosure	49 (IB)	N.A	
(C) Other provisions as to Board and Committee	49 (IC)	Yes	
(D) Code of Conduct	49 (ID)	Yes	
II Audit Committee	49 II	Yes	
(A) Qualified and Independent Audit Committee	49(IIA)	Yes	
(B) Meeting of Audit Committee	49(II B)	Yes	
(C) Powers of Audit Committee	49(II C)	Yes	
(D) Role of Audit Committee	49(II D)	Yes	
(E) Review of Information by Audit Committee	49(II E)	Yes	
III Subsidiary Companies	49 III	N.A	The Company has non-listed Indian Subsidiaries which is non-material as per the definition of Corporate Governance Code.
IV Disclosures	49 IV	Yes	
(A) Basis of related party transactions	49 (IVA)	N.A	
(B) Disclosure in Accounting Treatment	49 (IVB)	N.A	
(C) Board Disclosures	49 (IVC)	Yes	
(D) Proceeds from public issues, right issues, preferential issues, etc.	49 (IVD)	N.A.	
(E) Remuneration of Directors	49 (IVE)	N.A	No Director is receiving remuneration from the Company except Sitting Fees.
(F) Management	49 (IVF)	Yes	
(G) Shareholders	49 (IVG)	Yes	
V. CEO/CFO Certification	49 V	Yes	
VI Report on Corporate Governance	49VI	Yes	
VII Compliance	49 VII	Yes	

For TTI Enterprise Ltd

For TTI ENTERPRISE LTD.



Director / Authorised Signatory

Binjal Mehta

Company Secretary

(I)(a) STATEMENT SHOWING SHAREHOLDING PATTERN

Name of the Company: TTI ENTERPRISE LIMITED		Class of security:	
Scrip Code:		Name of the Scrip:	
Quarter Ended: 30/09/2013		Page:	
Party paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group			
Held by public			
Total - D			
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group			
Held by public			
Total - E			
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group			
Held by public			
Total - F			
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			
		127022110	



Category Code	Category of Shareholders	Number of shareholders	Total number of shares	Number of shares held in Demat Form	As a % of (A+B)	As a % of (A+B+c)	Number of Pledged shares	As a percentage (ix)=(viii/iv*100)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)
(A)	Total Shareholding of Promoter & Promoter Group							
(1)	Indian							
(a)	Individual/HUF	9	4355775	4355775	3.429	3.429	Nil	Nil
(b)	Central Govt./ State Govt.	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(c)	Bodies Corporate	4	22750000	22750000	17.910	17.910	Nil	Nil
(d)	Fin.Institutions / Bank	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(e)	Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Sub-Total of (A)(1)	13	27105775	27105775	21.339	21.339	Nil	Nil
(2)	Foreign							
(a)	Individuals (NRIs/Foreign)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(b)	Bodies Corporate	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(c)	Institutions	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(d)	Qualified Foreign Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(e)	Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Sub-Total of (A)(2)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Shareholding of Promoter & Promoter Group (A) = A1+A2	13	27105775	27105775	21.339	21.339	Nil	Nil
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds / UTI	Nil	Nil	Nil	Nil	Nil	NA	NA
(b)	Fin.Institutions / Banks	Nil	Nil	Nil	Nil	Nil	NA	NA
(c)	Central Govt./ State Govt.	Nil	Nil	Nil	Nil	Nil	NA	NA
(d)	Venture Capital	Nil	Nil	Nil	Nil	Nil	NA	NA
(e)	Insurance Company	Nil	Nil	Nil	Nil	Nil	NA	NA
(f)	Foreign Inst.Investors	Nil	Nil	Nil	Nil	Nil	NA	NA
(g)	Foreign VC Investors	Nil	Nil	Nil	Nil	Nil	NA	NA
(h)	Qualified Foreign Investors	Nil	Nil	Nil	Nil	Nil	NA	NA
(i)	Any Other	Nil	Nil	Nil	Nil	Nil	NA	NA
	Sub-Total (B)(1)	Nil	Nil	Nil	Nil	Nil	NA	NA
(2)	Non-Institutions							
(a)	Bodies Corporate	19	57669185	57669185	45.401	45.401	NA	NA
(b)	Individuals							
	i.Individual Shareholders Holding Nominal Share Upto Rs.1 Lakh	114	1780550	1421325	1.402	1.402	NA	NA
	ii.Individual Shareholders Holding Nominal Share greater than Rs.1 Lakh	110	40464100	40339100	31.856	31.856	NA	NA
(c)	Qualified Foreign Investors	Nil	Nil	Nil	Nil	Nil	NA	NA
(d)	Any Other							
	NRI/OCBs	1	2500	2500	0.002	0.002	NA	NA
	Sub-Total (B)(2)	244	99916335	99432110	78.661	78.661	NA	NA
	Total Public Shareholding (B) = (B)(1) + (B)(2)	244	99916335	99432110	78.661	78.661	NA	NA
	TOTAL (A)+(B)	257	127022110	126537885	100.000	100.000	Nil	Nil
(C)	Shares held by Custodians And against which DRs have been issued	Nil	Nil	Nil	Nil	Nil	NA	NA
(1)	Promoter and Promoter group	Nil	Nil	Nil	Nil	Nil	NA	NA
(2)	Public	Nil	Nil	Nil	Nil	Nil	NA	NA
	GRAND TOTAL (A)+(B)+(C)	257	127022110	126537885	100.000	100.000	Nil	Nil

TTI ENTERPRISE LIMITED

(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) belonging to the category [Promoter & Promoter Group] As on 30/09/2013

Srl. Name of the shareholder	Details of Shares Held		Encumbered shares	
	Number of Shares held	As a % of grand total (A)+(B)+(C)	Number of Pledged Shares held	As a percentage
(i)	(ii)	(iii)	(iv)	(v)=(v)/(iii)
1. IRAWATI ENTERPRISES LLP	600000	4.724	0	0.000
2. MECHNATH WEALTH CREATORS LLP	500000	3.936	0	0.000
3. PADMALAKSHMI WEALTH CREATORS LLP	600000	4.724	0	0.000
4. VAIKUNDAM ADVISORS LLP	575000	4.527	0	0.000
5. BINJAL MEHTA	501950	0.395	0	0.000
6. HASTIN MEHTA	208800	0.164	0	0.000
7. INDUMATI MEHTA	363725	0.286	0	0.000
8. INDUMATI MEHTA	1550000	1.220	0	0.000
9. JAGRUTI MEHTA	3875	0.003	0	0.000
10. JITENDRA KUMAR MEHTA	260000	0.205	0	0.000
11. JITENDRA MEHTA	74000	0.058	0	0.000
12. PARAJ MEHTA	706375	0.556	0	0.000
13. PARAJ MEHTA	687050	0.541	0	0.000
TOTAL	27105775	21.339	0	0.000

res(*)	Details of warrants				Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
ntage	As a % of grand total (A)+(B)+(C) of sub-clause (I) (a)	Number of Warrants held	As a % of total Warrants number of the same Class	Number of convertible securities held	%r.t. total number of convertible securities of the same class		
(i)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	
0.000	0	0	0.000	0	0.000	4.724	
0.000	0	0	0.000	0	0.000	3.936	
0.000	0	0	0.000	0	0.000	4.724	
0.000	0	0	0.000	0	0.000	4.527	
0.000	0	0	0.000	0	0.000	0.395	
0.000	0	0	0.000	0	0.000	0.164	
0.000	0	0	0.000	0	0.000	0.286	
0.000	0	0	0.000	0	0.000	1.220	
0.000	0	0	0.000	0	0.000	0.003	
0.000	0	0	0.000	0	0.000	0.205	
0.000	0	0	0.000	0	0.000	0.058	
0.000	0	0	0.000	0	0.000	0.556	
0.000	0	0	0.000	0	0.000	0.541	
0.000	Nil	Nil	Nil	Nil	Nil	21.339	



Srl. Name(s) of the shareholder	Number of Shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of Warrants		Details of convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
			Number of Warrants held	As a % of total number of warrants of the same Class	Number of convertible securities held	%w.r.t. total number of convertible securities of the same class	
1. B P ELECTRICALS PRIVATE LIMITED	1985450	1.563	0	0.000	0	0.000	1.563
2. CHAITALI TRADELINK PRIVATE LIMITED	6185850	4.870	0	0.000	0	0.000	4.870
3. GANUKTA MERCHANTS PVT.LTD.	4988600	3.927	0	0.000	0	0.000	3.927
4. GANDEVI COMMERCE PRIVATE LTD	1841575	1.450	0	0.000	0	0.000	1.450
5. PARAMJAYA INFRAPROJECTS LLP	4450000	3.503	0	0.000	0	0.000	3.503
6. RAJGIRI OVERSEAS PRIVATE LIMITED.	4595000	3.617	0	0.000	0	0.000	3.617
7. RUJU TRADECOM PRIVATE LIMITED	3259850	2.566	0	0.000	0	0.000	2.566
8. RUPASHI IMPEX PVT. LTD.	3518760	2.770	0	0.000	0	0.000	2.770
9. SAFFIRE EXPORTS AND AGENCY PVT LTD	3427400	2.698	0	0.000	0	0.000	2.698
10. TRISHNU REALTECH LLP	2900000	2.283	0	0.000	0	0.000	2.283
11. URJANI ADVISORS LLP	4827500	3.801	0	0.000	0	0.000	3.801
12. VANDITA COMMERCIAL PRIVATE LIMITED	4222000	3.324	0	0.000	0	0.000	3.324
13. VANSI INFRA PROJECTS PVT LTD	3494200	2.751	0	0.000	0	0.000	2.751
14. VISVAVASU INFRA TECH LLP	4265000	3.358	0	0.000	0	0.000	3.358
15. WELLESLEY COMMERCIAL COMPANY LIMITED	2690000	2.118	0	0.000	0	0.000	2.118
16. AJAY KUMAR AGARWAL	4075000	3.208	0	0.000	0	0.000	3.208
17. HARPREET SINGH	4000000	3.149	0	0.000	0	0.000	3.149
18. JAYA PRADA NAHATA	2500000	1.968	0	0.000	0	0.000	1.968
19. KAMLESH KUMAR MISHRA	3162000	2.489	0	0.000	0	0.000	2.489
20. LALIT KUMAR SADANI	1275000	1.004	0	0.000	0	0.000	1.004
21. LALIT KUMAR SADANI	1275000	1.004	0	0.000	0	0.000	1.004
22. NIRAJ PATHAK	3568850	2.810	0	0.000	0	0.000	2.810
23. SHOBHA SADANI	1275000	1.004	0	0.000	0	0.000	1.004
TOTAL	77782035	61.235	Nil	Nil	Nil	Nil	61.235



Srl. Name(s) of the shareholder and the Persons Acting in Concert (PAC) with them	Number of Shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I) (a) above}	Details of Warrants		Details of convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
			Number of Warrants held	As a % of total warrants	Number of convertible securities held	%w.r.t. total number of convertible securities of the same class	
1.	Nil	Nil	Nil	Nil	Nil	Nil	Nil



Srl. Name(s) of the Shareholder	Category of Shareholders Locked-in Shares (Promoter / Public)	Number of Locked-in Shares	% to Total
1.		Nil	Nil



Srl.	Type of Outstanding DR (ADRs, GDRs, SDRs, etc)	Number of Outstanding DRs	Number of Shares underlying outstanding DRs	Shares underlying Outstanding DRs as a Percentage of total number of shares (ie. Grand total(A)+(B)+ (C) indicated in Statement at para (I)(a) above)
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1. Nil

Nil

Nil

Nil



Srl. Name of the DR Holder	Type of outstanding DR (ADRs, GDRs SDRs, etc.) DRs	Number of Shares Underlying outstanding DRs	Shares underlying	
			Outstanding DRs as	Percentage of total
				outstanding number of shares (ie, Grand total (A)+(B)+(C) indicated in statement at para (I) (a) above
1. Nil	Nil	Nil	Nil	Nil
2. Nil	Nil	Nil	Nil	Nil
TOTAL	Nil	Nil	Nil	Nil

