

#### Thirdwave Financial Intermediaries Limited

Regd. Office: 302F, Kamalalaya 156A, Lenin Sarani, Calcutta-700 013 ②: 268335, 271186/8607 ★ Fax: 091-033-27 5317

(SEPL DECN. NO. INR 000001856 CATEGORY-I)

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The Calcutte Stock Exchange

Listing Department

Contents not verified

10th January, 2014

To
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Calcutta - 700 001

Dear Sir,

We are enclosing herewith the following:-

- 1. Shareholding Pattern as on 31.12.2013.
- 2. Notice convening Board Meeting on 31st January, 2014.
- 3. Report on Corporate Governance for the quarter ended 31.12.2013.
- 4. Audit report by a practicing company secretary pursuant to SEBI (Depositories & Participants Second Amendment) Regulations, 2003 for the quarter ended on 31.12.2013.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully

for THIRDWAVE FINANCIAL INTERMEDIARIES LTD.

Encl: a/a

Copy to :-

Bombay Stock Exchange Ltd., Mumbai -The Madras Stock Exchange Ltd., Chennai

#### Introductory sub-table (I)(a)

100		2207600	Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (Grand Total (A+B+C)+ D+E+F)
0	0	0	Total - F
C	0	0	Held by public
0		0	Held by promoter/promoter group
As a % of total no. of shares of the company, assuming full conversion of warrants	As a % of total no. of warrants	No. of warrants	Warrants:-
0	0	0	Total - E
0		0	Held by public
0	0	0	Held by promoter/promoter group
As a % of total no. of shares of the company, assuming full conversion of the convertible securities	As a % of total no. of outstanding convertible securities	No. of outstanding securities	Outstanding convertible securities:-
0	0	0	Total - D
00	0	0	Held by promoter/promoter group Held by public
As a % of total no. of shares of the company	As a % of total no. of partly paid-up shares	No. of partly paid-up shares	Partly paid-up shares:-
			AS ON 31/12/2013
	TY SHARES	TERMEDIARIES LIMITED, EQUI	Name of the Company: 531652, THIRDWAVE FINANCIAL INTERMEDIARIES LIMITED, EQUITY SHARES



	Snareholding rattern of third wave rinancial intermediantes at a sound.  Table (I)(a)	IHIKUWA	Tal	Table (I)(a)	The state of the s	The state of		
Categ C	Category of Shareholder	Number of Shareholde	Total number	Number of shares held in dematerialized	Total shareholding as percentage of total number of shares	otal shareholding as a percentage of total number of shares	Shares Pledged or otherwise encumbered	Pledged or othe encumbered
COUR				form				
					As a percentage	As a percentage	Number of shares	As a percentage
					01(A+B)	OT (WILDIG)		2000
	(II)	(III)	(14)	(*)	(14)	(44)	(1111)	(tas) (trim) (tr) 100
	Snareholding of Fromoter and							
1	Indian			117.3		Ē		
(a) In	Individuals/ Hindu Undivided Family							
- 202		2	148910	148910	6.75	6.75	0	0,00
(b) C	Central Government/ State Government(s)	5	0	o	0.00	0 00	0	0.00
		<b>3</b> 6	008859	008859	20.85	29.85	0	0.00
	Bodies Corporate	0 1	020000	000000	0.00	0.00	٥	0.00
(d)	Financial Institutions/ Banks				0,00	0.00		0.00
-	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(6-1)					0.00	0.00	0	0,00
(0.11/								
S	Sub Total(A)(1)	4	807800	807800	36.59	36.59	0	0.00
2 F	Foreign							
	Individuals (Non-Residents Individuals/				)	9	>	2
Fo	Foreign Individuals)	0	0	0 0	0.00	0.00		0.00
ьв	Bodies Corporate	0	0		0.00	0.00		0.00
c In	Institutions	0			0.00	0.00		0.00
d A	Any Others(Specify)	0	0	0	0.00	0.00		0.00
d-i					0.00	0.00		0.00
d-ii					0.00	0.00	0	0,00
					0.00	200		000
S	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
-	Total Shareholding of Promoter and	4	807800	807800	36.59	36.59	0	0.00
(B) P	Public shareholding							
	Institutions		000201	Ω	617	617		
(a)	Mutual Funds/ UTI		136200	0	7	717		



		2	1	<u>O</u>		(B)		(c-ii)	(c-i)	(0)	=	-		(b)	(a)	В 2		Ξ	(3)	(9)	(f)	(e)	(d)	(c)	(0)
GRAND TOTAL (A)+(B)+(C)	Sub-Total (C)	Public	Promoter and Promoter Group	Shares held by Custodians and against which Depository Receipts have been issued	TOTAL (A)+(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	Sub-Total (B)(2)		Clearing Member/Clearing Cor	Any Other (specify)	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	lakh	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1	Individuals	Bodies Corporate	Non-institutions	Sub-Total (B)(1)	Any other (Please specify)	Qualified Foreign Investors	Foreign Venture Capital Investors	Foreign Institutional Investors	Insurance Companies	Venture Capital Funds	Central Government/ State Government(s)	
82	0	0	0		82	78	77			The second second	32	41			3			0			0	0	0		
2207600	0	0	0		2207600	1399800	1263600		600		825200	27800			410000		136200	0			0			0 0	0 0
1541800	0	0	0		1541800	734000	734000		600		529200	4200			200000		0	c	0	0	0	0	0	0	0
					100.00	63.41	57.24	0.00	0.03	0.00	37.38	1.26		0.00	18.57		6.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
100.00	0	0.00	0.00		100.00	63.41	57.24	0.00	0.03	0.00	37.38	1.26		0.00	18.57		6.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
0	0																								
0.00																									



(I)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	T	Total shares held		Shares pledged or otherwise encumbered
		Number of shares	As a % of grand total (A) +(B) +(C)	Z	Number
(1)	(II)	(III)	(IV)		(V)
_	SANWARMAL AGARWAL	75210	3.41		0
2	SITA DEVI AGARWAL	73700	3.34	-	0
(L)	TALWAR STEELS P LTD	501890	22.73		0
4	PRUDENT MAN & IND CON PLTD	157000	7.11		0
TATO		807800	36.59	_	0

(1)(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 5% of the total number of shares

	536200	TOTAL
9.06	200000	3 KAMALPUR FINANCE LTD
9.06	200000	2 HARIOM AGRO FOODS P LTD
6.17	136200	1 J M MUTUAL FUND
shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Mulliper of Shares	ST. No. Name of the snareholder



## (1)(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Sr. No. Name of the shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
	J M MUTUAL FUND	136200	6.17
2	HARIOM AGRO FOODS P LTD	200000	9.06
Ç	KAMALPUR FINANCE LTD	200000	9.06
4	MEGHNA SHAH	25000	1.13
O1	NIRMAL KUMAR AGARWAL	40000	1.81
6	SANJAY KUMAR AGARWAL	100000	1.81
7	SAPNA AGARWAL	99200	1.81
00	KANUPRIYA AGARWAL	30000	1.36
IATOT		830400	37.62

(I)(d)	Statement showing details of locked-in shares	shares		
Sr. No.	Sr. No. Name of the shareholder	Category of Shaeholders (Promoter/Number of locked-in shares	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	TALWAR STEELS P LTD	Promoter	501890	22.74
2	SANWARMAL AGARWAL	Promoter	75210	3.4
رن	PRUDENT MAN & IND CON PLTD	Promoter	157000	7.11
4	SITA DEVI AGARWAL	Promoter	73700	3.34
TOTAL			807800	36.59



# (II)(a) Statement showing details of Depository Receipts (DRs)

TOTAL	1 NIL	(ADR
		(ADRs, GDRs, SDRs, etc.)
0		Number of outstanding DRs
0		Number of shares underlying outstanding DRs
0.00	0.00	Number of shares underlying outstanding DRs percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}

### (II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

