

SPT SECURITIES LIMITED

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Member :

National Stock Exchange of India Ltd.
SEBI Regn. No. : INB 230759433
Membership Code : 07594



Depository Participant
National Securities Depository Ltd.
DPID IN 302357
SEBI Regn. No. IN-DP-NSDL-192-2001

Date: 13.01.2014

To
The Secretary,
The Calcutta Stock Exchange Limited,
7, Lyons Range,
Kolkata-700 001

Sir,

**Re: Submission of Shareholding Pattern Distribution
Schedule for the Quarter Ended AS ON 31st December 2013.**

Please find enclosed herewith Shareholding pattern & Distribution
Schedule for the Quarter ended as on 31st December, 2013.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,
For **SPT Securities Limited,**

S. S. M. S.

Director

Encl: As above

	The Calcutta Stock Exchange Limited	
	Listing Department	
	Received	
	Contents not verified	
	Sl. No.	Dated 13/1/14
Signature <i>[Signature]</i>		

STATEMENT SHOWING SHAREHOLDING PATTERN

Name of the Company: SPT SECURITIES LTD.

Scrip Code: Name of the Scrip:

Quarter Ended: 31/12/2013

Class of security:

Page

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
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Held by
promoter/promoter
group

Held by public

Total - D

Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
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Held by
promoter/promoter
group

Held by public

Total - E

Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
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Held by
promoter/promoter
group

Held by public

Total - F

Total paid-up capital
of the company,
assuming full
conversion of
warrants and
convertible securities

5001500



Category Code	Category of Shareholders	Number of shareholders	Total number of shares	Number of shares held in Demat Form	As a % of (A+B)	As a % of (A+B+C)	Number of Pledged shares	As a percentage (ix)=(viii/iv*100)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)
(A)	Total Shareholding of Promoter & Promoter Group							
(1)	Indian							
(a)	Individual/HUF	10	2971800	2971800	59.418	59.418	Nil	Nil
(b)	Central Govt./ State Govt.	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(c)	Bodies Corporate	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(d)	Fin.Institutions / Bank	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(e)	Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Sub-Total of (A)(1)	10	2971800	2971800	59.418	59.418	Nil	Nil
(2)	Foreign							
(a)	Individuals (NRIs/Foreign)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(b)	Bodies Corporate	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(c)	Institutions	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(d)	Qualified Foreign Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(e)	Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Sub-Total of (A)(2)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Shareholding of Promoter & Promoter Group (A) = A1+A2	10	2971800	2971800	59.418	59.418	Nil	Nil
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds / UTI	Nil	Nil	Nil	Nil	Nil	NA	NA
(b)	Fin.Institutions / Banks	Nil	Nil	Nil	Nil	Nil	NA	NA
(c)	Central Govt./ State Govt.	Nil	Nil	Nil	Nil	Nil	NA	NA
(d)	Venture Capital	Nil	Nil	Nil	Nil	Nil	NA	NA
(e)	Insurance Company	Nil	Nil	Nil	Nil	Nil	NA	NA
(f)	Foreign Inst.Investors	Nil	Nil	Nil	Nil	Nil	NA	NA
(g)	Foreign VC Investors	Nil	Nil	Nil	Nil	Nil	NA	NA
(h)	Qualified Foreign Investors	Nil	Nil	Nil	Nil	Nil	NA	NA
(i)	Any Other	Nil	Nil	Nil	Nil	Nil	NA	NA
	Sub-Total (B)(1)	Nil	Nil	Nil	Nil	Nil	NA	NA
(2)	Non-Institutions							
(a)	Bodies Corporate	7	639700	604700	12.790	12.790	NA	NA
(b)	Individuals							
	i.Individual Shareholders Holding Nominal Share Upto Rs.1 Lakh	93	133950	37250	2.678	2.678	NA	NA
	ii.Individual Shareholders Holding Nominal Share greater than Rs.1 Lakh	19	1256050	1255950	25.113	25.113	NA	NA
(c)	Qualified Foreign Investors	Nil	Nil	Nil	Nil	Nil	NA	NA
(d)	Any Other							
	Sub-Total (B)(2)	119	2029700	1897900	40.582	40.582	NA	NA
	Total Public Shareholding (B) = (B)(1) + (B)(2)	119	2029700	1897900	40.582	40.582	NA	NA
	TOTAL (A)+(B)	129	5001500	4869700	100.000	100.000	Nil	Nil
(C)	Shares held by Custodians And against which DRs have been issued	Nil	Nil	Nil	Nil	Nil	NA	NA
(1)	Promoter and Promoter group	Nil	Nil	Nil	Nil	Nil	NA	NA
(2)	Public	Nil	Nil	Nil	Nil	Nil	NA	NA
	GRAND TOTAL (A)+(B)+(C)	129	5001500	4869700	100.000	100.000	Nil	Nil

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Statement showing holding of securities (including shares, warrants, convertible securities) belonging to the category [Promoter & Promoter Group] As on 31/12/2013

Sl. No.	Name of the shareholder	Details of Shares Held		Encumbered shares	
		Number of Shares held	As a % of grand total (A)+(B)+(C)	Number of Pledged Shares held	As a percentage of total shares held
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)
1.	ANITA TOSNIMAL	269700	5.392	0	0.000
2.	GITA DEVI TOSNIMAL	444700	8.891	0	0.000
3.	HARSH TOSNIMAL	335600	6.710	0	0.000
4.	MAYANK TOSNIMAL	36500	0.730	0	0.000
5.	MOHAN LAL TOSNIMAL	90000	1.799	0	0.000
6.	SNEH MAHESWARI	371600	7.430	0	0.000
7.	SUJATA TOSNIMAL	480600	9.609	0	0.000
8.	SURYA PRAKASH TOSNIMAL	324900	6.496	0	0.000
9.	YADAV PRASAD TOSNIMAL	547200	10.941	0	0.000
10.	YADAV PRASAD TOSNIMAL	71000	1.420	0	0.000
TOTAL		2971800	59.418	0	0.000

Numbered shares(*)	Details of warrants			Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and securities) as a % of diluted share capital
As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of Warrants held of the same Class	As a % of total warrants of the same Class	Number of convertible securities held of the same class	% of convertible securities of the same class	
(v)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
0.000	0.000	0	0.000	0	0.000	5.392
0.000	0.000	0	0.000	0	0.000	8.891
0.000	0.000	0	0.000	0	0.000	6.710
0.000	0.000	0	0.000	0	0.000	0.730
0.000	0.000	0	0.000	0	0.000	1.799
0.000	0.000	0	0.000	0	0.000	7.430
0.000	0.000	0	0.000	0	0.000	9.609
0.000	0.000	0	0.000	0	0.000	6.496
0.000	0.000	0	0.000	0	0.000	10.941
0.000	0.000	0	0.000	0	0.000	1.420
0.000	0.000	Nil	Nil	Nil	Nil	59.418



SPT SECURITIES LTD.

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category [PUBLIC] and holding more than 1.000(%) of the total number of shares as on 31/12/2013

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Srl. Name(s) of the shareholder	Number of Shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of Warrants		Details of convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
			Number of Warrants held	As a % of total number of warrants of the same Class	Number of convertible securities held	%w.r.t. total number of convertible securities of the same class	
1. SATYAM SECURITIES AND FINANCE PRIVATE LI	225100	4.501	0	0.000	0	0.000	4.501
2. SNEH VANIJYA VIKAS PVT.LTD	106500	2.129	0	0.000	0	0.000	2.129
3. SPT INTERNATIONAL AND FINANCE LIMITED	235700	4.713	0	0.000	0	0.000	4.713
4. ABHISHEK SINGH	86200	1.723	0	0.000	0	0.000	1.723
5. AJAY KUMAR GUPTA	70000	1.400	0	0.000	0	0.000	1.400
6. BISHNU KUMAR MUNDRA	99300	1.985	0	0.000	0	0.000	1.985
7. CHANDRA PRAKASH TOSNIWAL	116100	2.321	0	0.000	0	0.000	2.321
8. KUNDAN NALL SETHIA	168000	3.359	0	0.000	0	0.000	3.359
9. NIRMALA MUNDRA	89900	1.797	0	0.000	0	0.000	1.797
10. OM PRAKASH SINGH	96600	1.931	0	0.000	0	0.000	1.931
11. PRADEEP KUMAR SHAW	54000	1.080	0	0.000	0	0.000	1.080
12. RAMAWATAR SINGH	75500	1.510	0	0.000	0	0.000	1.510
13. SARITA TOSNIWAL	95000	1.899	0	0.000	0	0.000	1.899
14. SUPHASH BAHETI	64200	1.284	0	0.000	0	0.000	1.284

TOTAL	1582100	31.633	Nil	Nil	Nil	Nil	31.633
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(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category (PUBLIC) and holding more than 5.000(%) of the total number of shares of the company as on 31/12/2013

Srl. Name(s) of the shareholder and the Persons Acting in Concert (PAC) with them	Number of Shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of Warrants		Details of convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
			Number of Warrants held	As a % of total warrants	Number of convertible securities held	% of total convertible securities of same class	
1.	Nil	Nil	Nil	Nil	Nil	Nil	Nil



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(1)(d) STATEMENT SHOWING DETAILS OF LOCKED-IN SHARES AS ON 31/12/2013

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Srl. Name(s) of the Shareholder	Category of Shareholders (Promoter/ Public)	Number of Locked-in Shares	% to Total
1.		Nil	Nil



Srl.	Type of Outstanding DR (ADRs, GDRs, SDRs, etc)	Number of Outstanding DRs	Number of Shares underlying outstanding DRs	Shares underlying Outstanding DRs as a Percentage of total number of shares (ie. Grand total (A)+(B)+ (C) indicated in Statement at para (I) (a) above)
1.	Nil	Nil	Nil	Nil



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(II)(b) STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRs), WHERE UNDERLYING SHARES HELD BY 'PROMOTER/PROMOTER GROUP' ARE IN EXCESS OF 1% OF THE TOTAL NO.OF SHARES

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Srl. Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of Shares Underlying outstanding DRs	Shares underlying Outstanding DRs as Percentage of total number of shares (ie, Grand total (A)+(B)+(C) indicated in statement at para (I)(a) above
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1. Nil	Nil	Nil	Nil
2. Nil	Nil	Nil	Nil

TOTAL	Nil	Nil	Nil
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