

THE SCOTTISH ASSAM (INDIA) LTD.

One Crooked Lane, Kolkata-700 069

Telephones :

2248-7062, 2242-8865

Fax : 91-33-2210-0089

email : scotishassam@sify.com

Jan. 10, 2014

HLK(H.O.)-87/2013-14/

The Secretary,
The Calcutta Stock Exchange Association Ltd.,
7 Lyons Range,
Kolkata - 700 001.

Dear Sir :

We are enclosing herewith the following :-

1. Secretarial Audit Report on reconciliation of Share Capital with NSDL, CDSL and Physical for the quarter ended 30th December, 2013 duly signed by the Practicing Company Secretary.
2. Statement showing Shareholding Pattern for the quarter ended 31st December, 2013.

Please acknowledge receipt.

Thanking you,
Yours faithfully,
For The Scottish Assam (India) Ltd.


(S. Sarbadhikary)
Director-Finance.
Encl : As stated.



CC: ABS Consultant Pvt. Ltd.,
99 Stephen House, 6th Floor, Attn.: Mr. V. Sharma – for information.
4, BBD Bagh (East),
Kolkata – 700 001. Director.

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ling for
SE

Garden 1 Heeleakah Tea Estate, P. O. Kharikatia, Dist. Jorhat, Assam

Reference of previous quarter with regards to excess dematerialised shares, if any.

N.A

Has the Company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?

N.A

Cont on page 2



DROLIA & COMPANY
(Company Secretaries)
RECONCILIATION OF SHARE CAPITAL AUDIT
(As per regulation 55A of the SEBI (Depositories and Participants) Regulations 1996)

1 For Quarter Ended

30th December 2013

2 ISIN

INE010G01018

3 Face Value

Rs 10/-

4 Name of the company

THE SCOTTISH ASSAM (INDIA) LIMITED

5 Registered Office Address

Ground floor, 1, Crooked lane, Kolkata 700069

6 Correspondence Address

Ground floor, 1, Crooked lane, Kolkata 700069

7 Telephone & Fax no.

033-2248-7062 FAX: 033- 2210-0089

8 Email Address

scotishassam@sify.com

9 Names of the Stock Exchanges where the Company's securities are listed :

1. Calcutta Stock Exchange Association Limited

Issued Capital

Listed Capital (Exchange wise) Calcutta Stock Exchange Association Ltd
(as per company records)

Number of Shares	% of total issued Cap.
800000	100.00
800000	100.00

Held in dematerialised form in CDSL

Held in dematerialised form in NSDL

Physical

7891	0.99
325456	40.68
466653	58.33

Total No. of Shares (12+13+14)

800000	100.00
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Reasons for Difference if any, between (10&11), (10&15), (11&15)

N.A

Certifying the details of changes in share capital during the quarter under consideration as per table below:

Particulars	No. of Shares	Applied / Not Applied for listing	Listed on Stock Exchanges	Whether intimated to CDSL	Whether intimated to NSDL	In-prin appr. pending for SE
			N.A			

Register of Members is updated (Yes / No)
if not, updated upto which date

Yes
N.A.

Reference of previous quarter with regards to excess dematerialised shares, if any.

N.A

Has the Company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?

N.A

Cont on page 2



- # Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of request pending beyond 21 days with the reasons for delay.

Total no. of Demat requests	No. of requests	No. of Shares	Reasons for delay
Confirmed after 21 days	NIL	NIL	N.A
Pending for more than 21 days	NIL	NIL	N.A

- # Name, Telephone & Fax No. of the Compliance Officer of the Co.

Mr S Sarbhadhikary
033-2248-7062

- # Name, Telephone & Fax No.,
Regn No. of the Auditor

Pravin Kumar Drolia
9, Crooked Lane, 3rd floor, R N 19, Kolkata: 700 069
Phone: 22484240
FCS 2366, CP 1362

- # Appointment of common agency for share registry work, if yes (name & address)

ABS CONSULTANT PVT LTD
Room No 99, 6th floor, 4, B.B.D. Bag (East), Kolkata 700001
Email: absconsultant@vsnl.net
Phone Nos: 033-2243-0153, 2220-1043 Fax: 033- 2243-0153

- # Any other detail that the auditor may like to provide
(E.G. BIFR Company delisting from Stock Exchange,
Company changes its name etc)

NIL

FOR DROLIA & COMPANY
(Company Secretaries)

(Pravin Kumar Drolia)
Proprietor
CP 1362



PLACE: 9, CROOKED LANE,
3RD FLOOR
KOLKATA 700 069
DATE: 10-01-2014

(I)(a) Statement showing Shareholding Patterns

	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Partly paid-up shares			
Held by promoter / promoters group	--	--	--
Held by public	--	--	--
Total : =	--	--	--

	No. of outstanding securities	As a % of total no. of outstanding convertible secu.	As a % of total no. of shares of the company, assuming full conversion of the conv. secu.
Outstanding convertible securities			
Held by promoter / promoters group	--	--	--
Held by public	--	--	--
Total : =	--	--	--

	No. of warrants	As a % of total no. of Warrants	As a % of total no. of shares of the company, assuming full conversion of Warrants
Warrants			
Held by promoter / promoters group	--	--	--
Held by public	--	--	--
Total : =	--	--	--

Total paid-up capital of the company assuming full conversion of warrants and convertible securities

800000



(I)(a) Statement showing Shareholding Pattern

Date	Category of Shareholder	Number of Shareholders	Total No. of Shares	No. of Shares held in DEMAT form	Tot Shareholding as % of Total No. of Shares		Shares Pledged or otherwise encumbered	
					As a % of (A+B)	As a % of (A+B+C)	No. of Shares	As a %
(A) Shareholding of Promoter and Promoter Group :								
(1) INDIAN :								
(a)	Individuals / HUF	21	126007	112107	15.75	15.75	--	--
(b)	Central Govt./State Govt.	--	--	--	--	--	--	--
(c)	Bodies Corporate	13	175190	155425	21.90	21.90	--	--
(d)	Financial Institutions / Banks	--	--	--	--	--	--	--
(e)	Any Other (specify)	--	--	--	--	--	--	--
Sub Total (A)(1) :		34	301197	267532	37.65	37.65		
(2) FOREIGN :								
(a)	NRI, / Foreign Individuals	--	--	--	--	--	--	--
(b)	Bodies Corporate	1	320000	--	40.00	40.00	--	--
(c)	Institutions	--	--	--	--	--	--	--
(d)	Any Other (specify)	--	--	--	--	--	--	--
Sub Total (A)(2) :		1	320000	--	40.00	40.00		
Total Shareholding of Promoter and Promoter Group.		35	621197	267532	77.65	77.65		
(A) = (A)(1) + (A)(2) :								

(B) Public shareholding :

Institutions :

Mutual Funds / UTI

N.A. N.A.

Financial Institutions / Banks

N.A. N.A.

Central Govt. / State Govt.



Crisp Code :

As On Date : 31.12.2013

(1)(a) Statement showing Shareholding Pattern

Date Category of Code Shareholder	Number of Shareholders	Total No. of Shares	No. of Shares held in DEMAT form	Tot Shareholding as % of Total No. of Shares		Shares Pledged or otherwise encumbered	
				As a % of (A+B)	As a % of (A+B+C)	No. of Shares	As a %
(d) Venture Capital Funds	--	--	--	--	--		
(e) Insurance Companies	--	--	--	--	--		
(f) Foreign Institutional Invs. (FII)	--	--	--	--	--		
(g) Foreign Venture Capital Invs.	--	--	--	--	--		
(h) Any Other (specify)	--	--	--	--	--		
Sub Total (B)(1) :	--	--	--	0.00	0.00		

(2) Non-institutions :

(a) Bodies Corporate	5	22651	22651	2.83	2.83	N.A.	N.A.
(b) Individuals -							
i) Indv. shareholders holding nominal share capital upto Rs. 1 Lakh.	1147	155602	42614	19.45	19.45		
ii) Indv. shareholders holding nominal share capital in excess of Rs. 1 Lakh.	--	--	--	--	--		
(c) Any Other (specify) :							
Non Resident Indian	4	550	550	0.07	0.07		
Others	--	--	--	--	--		
Sub Total (B)(2) :	1156	178803	65815	22.35	22.35		

Total Public shareholding : 1156 178803 65815 22.35 22.35 N.A. N.A.
(B) = (B)(1) + (B)(2)

TOTAL (A)+(B) -> 1191 800000 333347 100.00 100.00



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As On Date : 31.12.2013

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(I)(a) Statement showing Shareholding Pattern

Date Category of Code Shareholder	Number of Shareholders	Total No. of Shares	No. of Shares held in DEMAT form	Tot Shareholding as % of Total No. of Shares		Shares Pledged or otherwise encumbered	
				As a % of (A+B)	As a % of (A+B+C)	No. of Shares	As a %

(C)

Shares held by Custodians and
against which Depository Receipts
have been issued

N.A. N.A.

GRAND TOTAL (A)+(B)+(C) -> 1191 600000 333347 100.00 100.00



(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

											Total shares (including underlying shares assuming full conversion of warrants and convertible Sec. as a% of diluted share capital (XII)
		Details of Shares held		Encumbered shares			Details of Warrants		Details of Convertible Securities		
		No. of	As a % of grand	No. of	As a %	As a % of	No. of	As a %	No. of Conver.	As a %	
		Shares	total (A)+(B)+(C)	Shares	(VI)	Sub-Clause	Warr. held	(IX)	Secur. held	(XI)	
		(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
Sl. No.	Name of the Shareholder										
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	VIJENDRA KUMAR	100	0.01	--	--	--	--	--			0.01
2	SMITA AGARWAL	400	0.05	--	--	--	--	--			0.05
3	SANDHYA AGRAWAL	500	0.10	--	--	--	--	--			0.10
4	PRADHA RANI AGARWAL	600	0.10	--	--	--	--	--			0.10
5	MANISH KUMAR	800	0.10	--	--	--	--	--			0.10
6	NARENDRA KUMAR	800	0.10	--	--	--	--	--			0.10
7	MAYANK KUMAR AGARWAL	800	0.10	--	--	--	--	--			0.10
8	SMITA SARAF	800	0.10	--	--	--	--	--			0.10
9	MUDIT KUMAR	1000	0.13	--	--	--	--	--			0.13
10	ARVIND KUMAR	3900	0.49	--	--	--	--	--			0.49
11	HEMANT KUMAR AGRAWAL	4300	0.54	--	--	--	--	--			0.54
12	ILA RANI	4555	0.57	--	--	--	--	--			0.57
13	RAJENDRA KUMAR AGRAWAL	4950	0.62	--	--	--	--	--			0.62
14	ALAKA JALAN	10500	1.31	--	--	--	--	--			1.31
15	SANDEEP KUMAR JALAN	10900	1.36	--	--	--	--	--			1.36
16	RITIKA KUMAR	10950	1.37	--	--	--	--	--			1.37
17	BAJARANG PRASAD JALAN	11025	1.38	--	--	--	--	--			1.38
18	DIVYA JALAN	11200	1.40	--	--	--	--	--			1.40
19	MAYANK KUMAR	12300	1.54	--	--	--	--	--			1.54
20	PRANOD RANI	12800	1.60	--	--	--	--	--			1.60
21	SHASHI KUMAR	22327	2.79	--	--	--	--	--			2.79
22	SUPRIYA FINANCE LIMITED	300	0.04	--	--	--	--	--			0.04
23	SANDEEP INVESTMENTS LIMITED	400	0.05	--	--	--	--	--			0.05
24	SHREYANS INVESTMENTS PVT LTD	400	0.05	--	--	--	--	--			0.05
25	PURUSHOTTAM INVESTMENTS PVT. LT D.	447	0.06	--	--	--	--	--			0.06
26	ARCHI HOLDINGS PRIVATE LIMITED	800	0.10	--	--	--	--	--			0.10
27	INNOVATION HANDICRAFTS(INDIA) P VT. LTD.	4765	0.60	--	--	--	--	--			0.60
28	SPBP INVESTMENTS PVT LTD	5000	0.63	--	--	--	--	--			0.63
29	ARYAVRAT TRADING PRIVATE LIMITE D	10075	1.26	--	--	--	--	--			1.26
30	SPBP TEA PLANTATION LTD.	14600	1.83	--	--	--	--	--			1.83
31	SIVPL PRODUCTS PRIVATE LIMITED	23130	2.89	--	--	--	--	--			2.89
32	SHREE DURGA AGENCIES LTD	33020	4.13	--	--	--	--	--			4.13
33	NIRVAN COMMERCIAL COMPANY LTD	36963	4.62	--	--	--	--	--			4.62
34	MARYADA ADVISORY SERVICES PVT L TD	45290	5.66	--	--	--	--	--			5.66
35	THE SCOTTISH ASSAM TEA COMPANY LTD	320000	40.00	--	--	--	--	--			40.00
TOTAL ->		621197	77.65	0		0.00	0	0.00			77.65



(I)(c)(i) Statement showing holding of securities (including shares, warrants convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Srl. Name of the No. Shareholder (I) (II)	Shares as a percentage of total No. of shares (i.e Grand Total (A) + (B) + (C)		Details of Warrants (----->		Details of Convertible Securities (----->		Total shares (including underlying shares assuming full conversion of warrants and convertible Sec. as a% of diluted share capital (IX)	
	No. of Shares held (I)(a) above (III) (IV)		No. of Warr. held As a % (V) (VI)		No. of Conv. Secur. held (VII) (VIII)			
	21600 2.70		-- --				2.70	
I NITTAL SALES PRIVATE LIMITED	21600 2.70							
TOTAL ->	21600 2.70		0 0.00				2.70	



(I)(c)(ii) Statement showing holding of securities (including shares, warrants convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Name of the shareholder(s) Srl. and the Persons Acting in No. Concert (PAC) with them	No. of Shares	Statement at para (1)(a) above	No. of Warr. held	As a %	Details of Warrants		Details of Convertible Securities	Total shares (including underlying shares assuming full conversion of warrants and convertible Sec. as a% of diluted share capital
					(--->)	(--->)		
					Indicated in	(A)+(B)+(C)		
					Grand Total			
					of shares (i.e.			
					percentage			
					Shares as a			

TOTAL -> 0 0.00 0 0.00 0.00



Name of the Company : THE SCOTTISH ASSAM (INDIA) LTD.

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Script Code :

(1)(d) Statement showing details of Locked-in shares

Srl. No.	Name of the Shareholder	Number of Locked-in Shares	Locked-in shares as a percentage of total Number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)
----------	-------------------------	----------------------------	--

(1) Promoter :

SUB TOTAL (1) ->

(2) Non-Promoter :

SUB TOTAL (2) ->

TOTAL (1+2) ->



(II)(a) Statement showing details of Depository Receipts (DRs)

Srl. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Statement at para (I)(a) above)
1		--	--	--
Total :-		--	--	--



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(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of Shares

Sl. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Statement at para (I)(a) above)	
1					
Total :-					

