

I) (a) STATEMENT SHOWING SHAREHOLDING PATTERN

Name of the Company : SWASTIK SECURITIES & FINANCE LTD.

Crip Code :

Quarter Ended : 31/12/2009

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Category Code	Category of Shareholders	No. of Holders	No. of Shares	No. of Shr. in DEMAT	% of (A+B)	% of (A+B+C)	Shares Number	Pledged (%)
A)	Total Shareholding of Promoter & Promoter Group							
1)	Indian							
a)	Individual/HUF	Nil	Nil	Nil	Nil	Nil	Nil	Nil
b)	Central Govt./ State Govt.	Nil	Nil	Nil	Nil	Nil	Nil	Nil
c)	Bodies Corporate	Nil	Nil	Nil	Nil	Nil	Nil	Nil
d)	Fin. Institutions / Bank	Nil	Nil	Nil	Nil	Nil	Nil	Nil
e)	Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Sub-Total of (A)(1)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2)	Foreign							
a)	Individuals (NRIs/Foreign)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
b)	Bodies Corporate	Nil	Nil	Nil	Nil	Nil	Nil	Nil
c)	Institutions	Nil	Nil	Nil	Nil	Nil	Nil	Nil
d)	Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Sub-Total of (A)(2)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Shareholding of Promoter & Promoter Group (A) = A1+A2	Nil	Nil	Nil	Nil	Nil	Nil	Nil
B)	Public Shareholding							
1)	Institutions							
a)	Mutual Funds / UTI	Nil	Nil	Nil	Nil	Nil	NA	NA
b)	Fin. Institutions / Banks	Nil	Nil	Nil	Nil	Nil	NA	NA
c)	Central Govt./ State Govt.	Nil	Nil	Nil	Nil	Nil	NA	NA
d)	Venture Capital	Nil	Nil	Nil	Nil	Nil	NA	NA
e)	Insurance Company	Nil	Nil	Nil	Nil	Nil	NA	NA
f)	Foreign Inst. Investors	Nil	Nil	Nil	Nil	Nil	NA	NA
g)	Foreign VC Investors	Nil	Nil	Nil	Nil	Nil	NA	NA
h)	Any Other	Nil	Nil	Nil	Nil	Nil	NA	NA
	Sub-Total (B)(1)	Nil	Nil	Nil	Nil	Nil	NA	NA
2)	Non-Institutions							
a)	Bodies Corporate	112	3349225	1975600	76.196	76.196	NA	NA
b)	Individuals							
	i. Individual Shareholders Holding Nominal Share Upto Rs.1 Lakh	253	700715	675075	15.942	15.942	NA	NA
	ii. Individual Shareholders Holding Nominal Share greater than Rs.1 Lakh	17	345575	345575	7.862	7.862	NA	NA
c)	Any Other							
	Sub-Total (B)(2)	382	4395515	2996250	100.000	100.000	NA	NA
	Total Public Shareholding (B) = (B)(1) + (B)(2)	382	4395515	2996250	100.000	100.000	NA	NA
	TOTAL (A)+(B)	382	4395515	2996250	100.000	100.000	Nil	Nil
C)	Shares held by Custodians And against which DRs have been issued	Nil	Nil	Nil	Nil	Nil	NA	NA
	GRAND TOTAL (A)+(B)+(C)	382	4395515	2996250	100.000	100.000	Nil	Nil



SWASTIK SECURITIES & FINANCE LTD.

(I)(b) STATEMENT SHOWING SHAREHOLDING OF PERSONS BELONGING TO THE CATEGORY
[PROMOTER & PROMOTER GROUP] As on 31/12/2009

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Srl. NAME	Number of Shares	% to Total	Pledged Shares	As a %	% to Total
TOTAL	Nil	Nil	0	0.000	0.000

SWASTIK SECURITIES & FINANCE LTD.

(I)(c) STATEMENT SHOWING SHAREHOLDING OF PERSONS BELONGING TO THE CATEGORY [PUBLIC]
AND HOLDING MORE THAN 1.000(%) OF THE TOTAL NO.OF SHARES AS ON 31/12/2009

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Srl. NAME	Number of Shares	% to Total
1. ADITI SANCHAR SUVIDHA PVT. LTD	280125	6.373
2. AHILYA COMMERCIAL PVT LTD	227350	5.172
3. CAREFUL CONSULTANTS PRIVATE LIMITED	126801	2.885
4. CENTAK DISTRIBUTORS PVT. LTD.	151750	3.452
5. DALIA TRADERS PRIVATE LIMITED	78100	1.777
6. DURBASHA VYAPAAR PRIVATE LIMITED	175000	3.981
7. EKTA COMMODITIES SUPPLIERS PVT LTD	88000	2.002
8. GEMINI VYAPAAR PVT. LTD.	50000	1.138
9. INDEX COMMODITIES DEALERS PVT LTD	45000	1.024
10. INSTA DEALERS PRIVATE LIMITED	77100	1.754
11. JAJOO SALES PRIVATE LIMITED	131100	2.983
12. JHUMKA VYAPAAR PRIVATE LIMITED	46700	1.062
13. KALYANESWARI DEALERS PRIVATE LIMITED	107500	2.446
14. LIBERSON VANIJYA PVT. LTD.	47500	1.081
15. NUTSHELL VYAPAAR PVT. LTD.	118000	2.685
16. PADMAVATI COMMERCE PRIVATE LIMITED	96550	2.197
17. PRASANNA VANIJYA PVT LTD	74750	1.701
18. SHIDHARTH SECURITIES PRIVATE LIMITED	49700	1.131
19. SHIVGANGA DISTRIBUTORS PVT. LTD	71500	1.627
20. SIKARIA SHARE AND STOCK BROKING SERVICES	59583	1.356
21. SRI KRISHNA CLOTH MERCHANTS PRIVATE LIM	89980	2.047
22. TITANIC NETWORK MARKETING PRIVATE LIMITE	55200	1.256
23. SHRI BALLABH BHUTRA	50450	1.148
TOTAL	2297739	52.275

Srl. NAME	Category of Shareholders Locked-in Shares (Promoter/ Public)	Number of Locked-in Shares	% to Total
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1.

Nil

NIL

Srl. Type of Outstanding DR (ADRs, GDRs, SDRs, etc)	Number of Outstanding DRs	Number of Shares underlying outstanding DRs	Shares underlying Outstanding DRs as a Percentage of total number of shares (ie. Grand total (A)+(B)+ (C) indicated in Statement at para (I)(a) above)
1. Nil	Nil	Nil	Nil

SWASTIK SECURITIES & FINANCE LTD.

(II) (b) STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRs), WHERE
UNDERLYING SHARES ARE IN EXCESS OF 1% OF THE TOTAL NO.OF SHARES

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Srl. Name of the DR Holder	Type of outstanding DR (ADRs,GDRs SDRs,etc.) DRs	Number of Shares Underlying outstanding DRs	Shares underlying Outstanding DRs as Percentage of total number of shares (ie. Grand total(A)+(B)+(C) indicated in statement at para (I)(a) above
1. Nil	Nil	Nil	Nil

