

LIST OF PENDING INVESTORS' COMPLAINTS AGAINST MEMBERS (DEFAULTERS / SUSPENDED OR MATTER SUB-JUDICE CASES) AS ON 31.03.2019

Sl no	Name of the complainant	Name of the Member	Nature of complint	Receive date	Type of Action	Status	Remarks
1	Sk Anwar Hossen	A. Malik & Co.	Non receipt of payment of Rs. 29,000/-	23-08-2001	Declared Defaulter		
2	Runa Lalia	A Malik & Co.	Non-receipt of payment of Rs. 61,000/-	23-08-2001	Declared Defaulter		
3	Epic Vyapaar P. Ltd.	Bafna Securities P. Ltd.	Non payment of 5200 shares of RIL	21-05-2001		Matter Sub-Judice	
4	Priyajit Kumar Sett	Bimal Kr. Seal	Non delivery of shares	17-08-1998	Declared Defaulter		
5	Sanjay Kumar Sett	Bimal Kumar Seal	Non delivery of shares	17-08-1998	Declared Defaulter		
6	Fortune Investor & Tradors Ltd.	C K Tibrewala	Non-payment of Rs. 27,00,000/-	06-07-2001		Matter SubJudice	
7	Madhuri Jaipuria	C K Tibrewala	Loan Rs. 10 lacks against Badla	01-01-2002		Matter Sub-judice.	
8	Bajaj Investment	Daga Sons.	Non-receipt of 1600 Telco amonting Rs. 2,00,000/=	09-02-2002	Declared Defaulter		
9	Vaibhav Trade & Securities P.Ltd.	Daga Sons.	Due Rs. 33,80,000//=	25-01-2001	Declared Defaulter		
10	Rajvir Commercial P. Ltd.	Daga Sons.	Due Rs. 17,85,000/-	25-01-2001	Declared Defaulter		
11	Empee Finvest p.ltd.	Daga Sons.	Due Rs. 33,20,000/-	25-01-2001	Declared Defaulter		
12	Arun Kumar Sureka	Dinesh Kumar Singhania	Non-paymentof Rs. 2,03,28,374/=.	11-07-2000	Declared Defaulter		
13	GPI Investment Pvt. Ltd.	Harish Chandra Biyani	Non-payment of Rs.4,09,786/=	14-01-2002	Declared Defaulter		
14	Hindustan Equity Fund	Harish Chandra Biyani	Non-receipt of payment Rs.77,32,890/=.	18-03-2001	Defaulter and Expelled.		
15	Shyam Sunder Kukreja	Jawala Prasad Saraf	Non-receipt of Brooke Bond India	05-08-1999		Matter Sub-judice	
16	Murari Lal Lhila	Jawala Prasad Saraf	Non-payment of 300 shaes of ITC Rs. 1,80,000/=	06-10-1998		Matter Sub-judice	
17	MSV Securities Ltd.	Kiran Parasrampuria	Non-receipt of Rs. 13,12,955/=	19-07-2000	Declared Defaulter.		
18	Badridas Chamaria	Kunj Bihari Kejriwal	Non-payment of 300 shares of ITC	14-02-2000		Matter SubJudice.	
19	Jasraj Singh	Mahabir Prasad Lohia	Fradulance of Shares certificates of 100 ITC Hoel.	18-11-1997	Declared Defauletr		
20	Sobhagya Holding P.Ltd.	Mukesh Dokania & Co.	Non-receipt of Rs. 10,00,000/=	30-07-2002		Matter Sub-Judice	
21	Sudha Singhania	Mukul Bhattacharyya	Non-payment of Rs. 15,939/=	15-03-2002			Suspended
22	Tarak Nath Paul	Mukul Bhattacharyya	Non-payment of 700 RPL,300 BataIndia,50 RIL,25 Castorl, 50 Satyam, 100 Usha Etc.	30-08-2001			Suspended
23	Jyotssna Nath	Mukul Bhattacharyya	Non-payment of Rs.2,26,410/=	14-08-2001			Suspended
24	Kaberi Das	Mukul Bhattacharyya	Non-payment of 600 Rolta.	08-10-1999			Suspended
25	Suman Kumar Bandhu	Mukul Bhattacharyya	Return of Cheque.	18-04-2002			Suspended
26	Umesh Kumar Mohta	Murli M Chandak & Co.	Non-receipt of Rs. 82,178.70p	23-10-2000	Declared defaulter		
27	Subroto Das	Platinum Stock Broking	Non-payment of Rs. 10,000/=	15-05-2001			Expelled.
28	Amit Gangully	Platinum Stock Broking	Non-receipt of 50 Ranbaxi Laboratories Ltd.	08-09-1999			Expelled.

29	Parimal Sarkar	Platinum Stock Broking	Non-delivery of 1000 IFCI, 500 IDBI, 1000 Bank of India, 500 dena bank, 500 oriental Bank of commerce, 500 ICICI, 500 bank of baroda 500 Bank of Rajastan etc.	01-07-1999			Expelled.
30	R K Bhattacharyya	Shri Prem Lal Roy	Cheating Rs. 74,000/=	26-08-2002	Defaulter.		
31	Santosh Kumar Banerjee	Shri Prem Lal Roy	Cheating of Rs.27,500/=	20-08-2002	Declared Defaulter		
32	Jagmohan Khemani	Sanjay Khemani	Vyaj Badla Rs. 25,00,000/=	22-06-2001			Suspended.
33	Shyam Sunder Kukreja	Sharad Agarwal	Delivery of forged transferred deed.	05-08-1999		Matter sub-judice.	
34	Alok Kr. Mitra	Prem Lal Roy	Non-delivery of shares amounting Rs. 18,215.	17-10-2000			Suspended.
35	Ratan Kumar Shaw	Prem Lal Roy	Non-receipt of 400 Penta Line, 100 Tisco, 500 Silver Line etc.	22-01-2004			Suspended.
36	Aloke Kumar Chatterjee	N K Tiwary	Non-transfer of 100 CESC	06-12-2007		Matter Sub judice	
37	S Nuni	DFS Securities Ltd.	Claim amount Rs. 17,50,000/=	02.11.2018.	Suspended		
38	Vegulla Siva Ramakrishna	DFS Securities Ltd.	Claim amount Rs.3,15,000/=	02.11.2018.	Suspended		
39	K Sai Prasad	DFS Securities Ltd.	Claim amount Rs. 2,61,000/=	02.11.2018.	Suspended		
40	Meka Yesu Das	DFS Securities Ltd.	Claim amount Rs.11,95,362.84	02.11.2018.	Suspended		