

Details of Pending Investors' Complaints Against Members of the Exchange as on 31st March, 2019

Sl no	Name of the complinant	Name of the Member	Nature of complint	Receive date	Type of Action	Status	Remarks
1	Sk Anwar Hossen	A. Malik & Co.	Non receipt of payment of Rs. 29,000/-	23-08-2001	Declared Defaulter		
2	Runa Lalia	A Malik & Co.	Non-receipt of payment of Rs. 61,000/-	23-08-2001	Declared Defaulter		
3	Epic Vyapaar P. Ltd.	Bafna Securities P. Ltd.	Non payment of 5200 shares of RIL	21-05-2001		Matter Sub-Judice	
4	Priyajit Kumar Sett	Bimal Kr. Seal	Non delivery of shares	17-08-1998	Declared Defaulter		
5	Sanjay Kumar Sett	Bimal Kr. Seal	Non delivery of shares	17-08-1998	Declared Defaulter		
6	Fortune Investor & Tradors Ltd.	C K Tibrewala	Non-payment of Rs. 27,00,000/-	06-07-2001		Matter SubJudice	
7	Madhuri Jaipuria	C K Tibrewala	Loan Rs. 10 lacks against Badla	01-01-2002		Matter Sub-judice.	
8	S C Das	Chunilal T Mehta	Non delivery of 200 Tudor India, 400 Cavery Software, 400 Imfortech India Etc.	08-03-2001			Being followed -up
9	Bajaj Investment	Daga Sons.	Non-receipt of 1600 Telco amonting Rs. 2,00,000/=	09-02-2002	Declared Defaulter		
10	Vaibhav Trade & Securities P.Ltd.	Daga Sons.	Due Rs. 33,80,000//=	25-01-2001	Declared Defaulter		
11	Rajvir Commercial P. Ltd.	Daga Sons.	Due Rs. 17,85,000/-	25-01-2001	Declared Defaulter		
12	Empee Finvest p.ltd.	Daga Sons.	Due Rs. 33,20,000/-	25-01-2001	Declared Defaulter		
13	Arun Kumar Sureka	Dinesh Kumar Singhania	Non-paymentof Rs. 2,03,28,374/=.	11-07-2000	Declared Defaulter		
14	GPI Investment Pvt. Ltd.	Harish Chandra Biyani	Non-payment of Rs.4,09,786/=	14-01-2002	Declared Defaulter		
15	Hindustan Equity Fund	Harish Chandra Biyani	Non-receipt of payment Rs.77,32,890/=.	18-03-2001	Defaulter and Expelled.		
16	Shyam Sunder Kukreja	Jawala Prasad Saraf	Non-receipt of Brooke Bond India	05-08-1999		Matter Sub-judice	
17	Murari Lal Lhila	Jawala Prasad Saraf	Non-payment of 300 shaes of ITC Rs. 1,80,000/=	06-10-1998		Matter Sub-judice	
18	MSV Securities Ltd.	Kiran Parasrampuria	Non-receipt of Rs. 13,12,955/=	19-07-2000	Declared Defaulter		
19	Badridas Chamaria	Kunj Bihari Kejriwal	Non-payment of 300 shares of ITC	14-02-2000		Matter SubJudice.	
20	Jasraj Singh	Mahabir Prasad Lohia	Fradulance of Shares certificates of 100 ITC Hoel.	18-11-1997	Declared Defaulter		
21	Sobhagya Holding P.Ltd.	Mukesh Dokania & Co.	Non-receipt of Rs. 10,00,000/=	30-07-2002		Matter Sub-Judice.	
22	Sudha Singhania	Mukul Bhattacharyya	Non-payment of Rs. 15,939/=	15-03-2002			Suspended.
23	Tarak Nath Paul	Mukul Bhattacharyya	Non-payment of 700 RPL,300 BataIndia,50 RIL,25 Castorl, 50 Satyam, 100 Usha Etc.	30-08-2001			Suspended.
24	Jyotssna Nath	Mukul Bhattacharyya	Non-payment of Rs.2,26,410/=	14-08-2001			Suspended.
25	Kaberi Das	Mukul Bhattacharyya	Non-payment of 600 Rolta.	08-10-1999			Suspended.
26	Suman Kumar Bandhu	Mukul Bhattacharyya	Return of Cheque.	18-04-2002			Suspended.

27	Umesh Kumar Mohta	Murli M Chandak & Co.	Non-receipt of Rs. 82,178.70p	23-10-2000	Declared defaulter	
28	Subroto Das	Platinum Stock Broking	Non-payment of Rs. 10,000/=	15-05-2001		Epelled.
29	Amit Gangully	Platinum Stock Broking	Non-receipt of 50 Ranbaxi Laboratories Ltd.	08-09-1999		Epelled.
30	Parimal Sarkar	Platinum Stock Broking	Non-delivery of 1000 IFCI, 500 IDBI, 1000 Bank of India,500 dena bank,500 oriental Bank of commerce,500 ICICI,500 bank of baroda 500 Bank of Rajastan etc.	01-07-1999		Epelled.
31	R K Bhattacharyya	Shri Prem Lal Roy	Cheating Rs. 74,000/=	26-08-2002	Declared defaulter	
32	Santosh Kumar Banerjee	Shri Prem Lal Roy	Cheating of Rs.27,500/=	20-08-2002	Declared Defaulter	
33	Anjana Sarker	Ramesh Kumar Kabra	Non-receipt of Rs.1,34,135.89p.	18-06-2001		Being followed up.
34	Jagmohan Khemani	Sanjay Khemani	Vyaj Badla Rs. 25,00,000/=	22-06-2001		Suspended.
35	Unit Trust of India	Shyam Lal Laha	Non-receipt of payment	11-11-1997		
36	Shyam Sunder Kukreja	Sharad Agarwal	Delivery of forged transered deed.	05-08-1999		Matter sub-judice.
37	Alok Kr. Mitra	Prem Lal Roy	Non-delivery of shares amounting Rs. 18,215.	17-10-2000		Suspended.
38	Ratan Kumar Shaw	Prem Lal Roy	Non-receipt of 400 Penta Line, 100 Tisco, 500 Silver Line etc.	22-01-2004		Suspended.
39	Guru Saran Sharma	Shyam Sunder Dalmia	Non-receipt of 50 SBI	08-09-2006		Being followed up
40	Krishna Mucchhal	Luxmi & Co.	Non return of 10,000 Reliance Petroleum.	13-12-2006		Being followed up
41	Sushil Kumar Jhunjhunwala	KCGP Securities	Violation of SEBI Contract Act.	10-07-2007		Being followed up
42	Subir Kar Choudhury	R K Mishra & Co.	Non transfer of 100 J K Corporation Ltd.	21-11-2007		Being followed up
43	Aloke Kumar Chatterjee	N K Tiwary	Non-transfer of 100 CESC	06-12-2007		Matter Sub judice
44	Rajlakshmi Tradelink Pvt. Ltd.	N M Lohia	Non-payment of Rs.4,00,000/=	21-05-2008		Being followed up
45	Susmit Neogy	Banayan Shares and derivatives p.ltd.	Dishonoured of cheque.	02-08-2017		Being followed -up
46	Bappa Sahoo	Sky Bull	Claim amount Rs.181,732.00	14.11.2017		Being followed -up
47	Narendra Singh	Entact Securities	Non-refund of money of Rs. 15,000/=	04-12-2018		Being followed -up
48	Saraj Kumar Verma	Entact Securities	Non-refund of rs. 25,000/=	20-11-2018		Being followed -up
49	Sumant Kumar Singh	Entact Securities	Non-refund of Rs. 6600/=	04-12-2018		Being followed -up
50	Gouri Sankar Sarangi	Entact Securities	Non-refund of 2,80,000/= (A)	20-11-2018		Being followed -up

51	Pradeep Kumar Bhulka	Entact Securities	Non-refund of Rs, 20,000/=	20-11-2018			Being followed -up
52	Suman Bera	Entact Securities	Non-refund of Rs. 25,000/=	22-02-2019			Being followed -up
53	K Sai Prasad	DFS Securities Ltd.	Claim amount Rs. 2,61,000/=	02.11.2018.	Suspended		
54	Meka Yesu Das	DFS Securities Ltd.	Claim amount Rs.11,95,362.84	02.11.2018	Suspended		
55	Sai ram Surendra kumar Godavarthy	DFS Securities Ltd.	Claim amount Rs. 14,89,500/=	02.11.2018.			Being followed -up
56	Satti Anjaneya Reddy	DFS Securities Ltd.	Claim amount Rs.1.05,000/=	02.11.2018			Being followed -up
57	Satti Satyanarayana Reddy	DFS Securities Ltd.	Claim amount Rs.7,73,000/-	02.11.2018.			Being followed -up
58	Satyanarayana Murthy Yeleti	DFS Securities Ltd.	Claim amount Rs. 90,845/=	02.11.2018.			Being followed -up
59	Satyanarayana Pilli	DFS Securities Ltd.	Claim amount Rs.6,60,250/=	02.11.2018			Being followed -up
60	Somashekhar Duggirala	DFS Securities Ltd.	Claim amount Rs.6,62,000/=	02.11.2018			Being followed -up
61	S Nuni	DFS Securities Ltd.	Claim amount Rs. 17,50,000/=	02.11.2018	Suspended		
62	Vegulla Siva Ramakrishna	DFS Securities Ltd.	Claim amount Rs.3,15,000/=	02.11.2018	Suspended		
63	Manayam Mythili	DFS Securities Ltd.	claim amount Rs.5,50,000/=	25-11-2018			Being followed -up
64	Ashok Kumar Gannaravapu	DFS Securities Ltd.	Xlaim amounr of Rs 8,60,000/=	06-11-2018			Being followed -up
65	Naga Sirisha Bobba	DFS Securities Ltd.	Claim amount Rs. 10,20,000/=	21-08-2018			Being followed -up
66	Lion Dasari Thirumala Rao	DFS Securities Ltd.	Claim amount Rs. 13,57,000/-	11-10-2018			Being followed -up