



Eligibilities:

1. A Preliminary Application containing the following particular should be submitted. Members should ensure that they conform to the eligibility criteria mentioned hereunder. The applicant members should briefly narrate in their applications, the proposed plans of actions once they are selected.

Srl.	Criteria	Details sought	Supporting documents
a.	Brief Profile of the Member	Name, Pan-India presence, highlights of Operations, technological capabilities, brief financials, brief details Promoters, Directors.	Memorandum & Articles of Association; Annual Report for last 3 Financial Years
b.	Net-worth	Eligibility – Rs.25 Lakhs for Non-Corporates and Rs.32 Lakh for Corporates	Certificated by CA
c.	Registration	SEBI	Self attested Certified copies of certificates
d.	Details of any strictures, orders etc. from SEBI, Stock Exchanges or other Regulatory Authorities or penalty imposed by any Regulator in last three years involving offenses of the natures of criminal activities, foreign exchange violations, tax evasions, or orders of suspension/termination of trading/participating in Capital Markets against the Member, its Promoters and/or Directors or any subsidiary or holding member thereof or any Promoter or Director of such subsidiary or holding member or any associate/group of member thereof or Promoters and/or Directors thereof.	Should mention the details of non-compliance, action taken by the regulator, action taken by the member in connection with regularisation and future course of action.	Certification by Managing Director/ CEO/ Director/ Member Secretary/ Compliance Officer; Certified copies of the relevant strictures, order, letter imposing penalty etc. (in case applicable)
e.	On-site support	Whether the member is in a position to depute its personnel to the Bank branches for marketing and processing of orders; number of such representatives/locations.	



f.	Tie-up with any other Public Sector Banks.		Certified copy of the Letter/Agreement for tie-up.
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2. The Preliminary Application should be submitted on the letterhead of the concerned member. Each page should be initialled and the last page should be signed by the Authorised Officer.
3. In addition to the Preliminary Application, the applicant(s) shall also submit additional information in the prescribed form and the 'Undertakings' in 2 copies executed on a non-judicial stamp paper of Rs.200/- and duly notarised.
4. Certified true copy of the delegation of power to the authorised officer signing the application to be submitted.
5. The Preliminary Applications shall be delivered to the office of "General Manager – TIBA, United Bank of India, 4th Floor, 11, Hemanta Basu Sarani, Kolkata – 700001" or ar "General Manager – SEO, 7, Lyons Range, Calcutta 700 001 latest by the close of business hours on 24TH September 2012.
6. The Bank shall shortlist on the basis of the Preliminary Applications received considering the fulfilment of eligibility criteria and call the shortlisted applicants for a presentation before the empowered committee on an appointed date at an appointed time.
7. The CSE/UBI shall shortlist the members on the basis of the eligibility criteria.
8. The CSE/UBI shall have the absolute discretion in taking decisions pertaining to the selection of a particular member for the final selection of a particular member and the decision of the CSE/UBI shall be binding on all concerned including the members submitting the preliminary application and the decision shall not be eligible to be challenged before any court, legal or regulatory bodies or be subject to arbitration. The CSE/UBI shall not be liable to disclose the basis of its decision to any outsider.
9. The application shall be accompanied by the prescribed non refundable deposit amount of Rs.100,000/- to be remitted through DD/ Banker's Cheque issued by any branch of United Bank of India favouring The Calcutta Stock Exchange Ltd./United Bank of India payable in Kolkata. The Security Deposit of the applicants not empanelled under the scheme shall be returned within 5 working days of the communication of non empanelment from the Bank's/ Exchanges' side.
10. Selected members shall be intimated within 7 days from the date of interview.