

ANNEXURE-A

The companies are required to comply with extant revocation norms and provisions of the SEBI (listing obligations and disclosure requirements) Regulations, 2015 which inter-alia include the following inter-alia include the following:

Sr.No.	Particulars	Remarks
1	Compliance wrt capital issued and listed capital	No difference between issued and listed capital. (if such a difference exists then the listing formalities for the said capital should be completed and listing approval obtained prior to submission of revocation application).
2	Execution of new Uniform Listing Agreement as per Reg.109(1) of Listing Regulations, 2015	Execution of new Uniform Listing Agreement as per Reg.109(1) of Listing Regulations, 2015 (Format Enclosed as Annexure III). Listing Agreement to be executed on stamp paper of Rs.100. A person who is duly authorized by the Board of Directors to sign the Listing Agreement can sign the Listing Agreement (LA). A certified true copy of the Board Resolution authorizing the said person to sign the LA is required to be submitted along with the Listing Agreement
3	Number of Quarters for which compliance to be done by companies	Four consecutive previous quarters
4	Regulations to be verified for compliance	<p>Regulation 42 -Book Closure / Record Date Regulation 34 - Annual Report Regulation 31 - Shareholding Pattern Regulation 33 - Quarterly Results Regulation 46– Email address for grievance redressal Regulation 7 – share Transfer Agent Regulation 7 (3) – Compliance Certificate certifying maintaining physical & electronic transfer facility Regulation 13 (3) - Statement of Investor complaints Regulation 40 (9) - Certificate from Practicing Company Secretary Regulation 27(2) - Corporate Governance. SAST Regulation 30(1) & 30(2)- continual disclosure & event based disclosure.</p> <p>Compliance certificate obtained from the Practicing Company Secretary / Statutory Auditor on compliance of the Listing Agreement as per format enclosed as Annexure-I (as available on CSE’s web-site.) Confirmation by the Managing Director of the company as per format enclosed in Annexure-II (as available on CSE’s web-site.) MGT-7 as filed with MCA with copy of challan.</p>

5	Other points to be verified	<p>No outstanding exchange dues No pending Investor Complaints No complaints pending in SCORES No SEBI order debarring company or promoters No difference in issued and listed capital. (if so same has to get listed) No Adverse comment on the website watchoutinvestors.com should not be a vanishing company as per data available on the MCA website</p>
6	Signing with the depositories	<p>Company should have signed with at least one depository. Provided that where the company has not signed with either/ both the depositories, it shall submit a letter from the relevant depository rejecting admission of the security in the depository</p>
7	Payment of Fees	<p>Payment of applicable revocation fees of the exchange as under :</p> <ol style="list-style-type: none"> 1. Annual listing fees 2. Revocation Processing fees 3. Interest on outstanding listing fees & 4. Penalty for revocation. All the aforesaid charges are subject to applicable GST.
8	Lock-in of entire promoters shareholding	<p>The entire promoter holding as per the information provided in the latest Shareholding Pattern submitted by the company, should be under lock-in for a period of 3 months from the date of commencement of trading, post resumption of trading as per SEBI circular dated May 03, 2018 regarding SOP. (Please specify the date up to which the shares have been locked in and submit the necessary certificates from CDSL / NSDL / RTA)</p>
9	Information Memorandum	<p>Information Memorandum as provided for abridged prospectus as provided in Part E of Schedule VI of SEBI (ICDR) Regulations, 2018 to the extent applicable, as certified by the Company Secretary/ MD of the Company to be submitted for dissemination on the Exchange website at the time of revocation.</p>
10	Change in number and holding of Promoters and Promoters Group	<p>As per provisions of the SEBI general order No. 1 of 2015 dated July 20, 2015, “clause 3(b) there should not be any transfer of shares by promoter/ promoter group and directors from the date of the order till three months after the date of revocation of suspension.”. Companies seeking revocation of suspension are advised to comply with above requirement</p>