



THE NEW INDIA ASSURANCE COMPANY LTD.

DO 112700. New India Centre, Ground Floor,
17/A Cooperage Road, Mumbai- 400 039
Tel.: 022 2202 0577, 2202 0714 Fax: 022 2202 0608

CSE Stock Brokers' Indemnity Insurance

Proposal Cum Questionnaire Form

POLICY PERIOD 20013-2014

1.	Name of the Trading Member																															
2.	Postal Address (Trading location to be covered under the policy)																															
3.	Telephone No. and Fax No.																															
4.	PAN of Trading Member																															
5.	Address of Trading/Operating office to be covered, other than Branch (s).	No. of Locations: _____ (list to be attached) Indemnity Limit : 100% for each location. Extra @ 5% on basic Premium per location.																														
6.(a)	SEBI Registration No.																															
6.(b)	CSE Code																															
7.	Basic Indemnity Limit (Basic Sum Insured) required for 2013-2014	INR -																														
8.	No. of Branches (All or None Basis) No. (Address List is to be attached in duplicate) Please note that the Cover is optional and if opted, the additional Premium based upon the No. of Branches will be charged as per table given below. Please also note that minimum Basic Sum Insured to be opted under the Policy depends upon the No. of Branches as under- <table border="1" style="width: 100%; margin-top: 10px;"> <thead> <tr> <th>No. of Branches</th> <th>Additional Premium</th> <th>Minimum Sum Insured</th> </tr> </thead> <tbody> <tr> <td>1-10</td> <td>5% of the premium</td> <td>As per Insured's option</td> </tr> <tr> <td>11-25</td> <td>10% of the premium</td> <td>Rs. 50 Lacs</td> </tr> <tr> <td>26-50</td> <td>15% of the premium</td> <td>Rs. 1 Crore</td> </tr> <tr> <td>51-75</td> <td>20% of the premium</td> <td>Rs. 2 Crores</td> </tr> <tr> <td>76-100</td> <td>30% of the premium</td> <td>Rs. 3 Crores</td> </tr> <tr> <td>101-200</td> <td>45% of the premium</td> <td>Rs. 4 Crores</td> </tr> <tr> <td>201-350</td> <td>70% of the premium</td> <td>Rs. 5 Crores</td> </tr> <tr> <td>351-500</td> <td>80% of the premium</td> <td>Rs. 7.5 Crores</td> </tr> <tr> <td>501 & above</td> <td>100% of the premium</td> <td>Rs. 10 Crores</td> </tr> </tbody> </table>		No. of Branches	Additional Premium	Minimum Sum Insured	1-10	5% of the premium	As per Insured's option	11-25	10% of the premium	Rs. 50 Lacs	26-50	15% of the premium	Rs. 1 Crore	51-75	20% of the premium	Rs. 2 Crores	76-100	30% of the premium	Rs. 3 Crores	101-200	45% of the premium	Rs. 4 Crores	201-350	70% of the premium	Rs. 5 Crores	351-500	80% of the premium	Rs. 7.5 Crores	501 & above	100% of the premium	Rs. 10 Crores
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9.	Add-On Covers :	4 Optional Add on Covers are available on payment of additional Premium and ST @ 12.36% thereon as under- a) IPO Book Building b) Intermediary Cover – For Authorised Users / Sub Brokers/Franchisee c) Currency Derivatives. d) Omission to insure additions/alterations of Branches.																														
9(a)	For IPO Book-Building	Are you Opting for Add-On Cover - Yes / No : _____ If Yes, Please note the Maximum Liability is limited to 25% of the Policy Basic S.I and premium is 15% extra of the Basic Premium.																														
9(b)	For Intermediaries (All or None Basis)	Are you Opting Add-On Cover For Intermediaries Yes / No _____ If Yes, Please give details as under – No. of Sub Brokers : No. of Franchisees : No. of Authorized Persons : Total No. : PLEASE ATTACH A detailed List of all Sub Brokers/ Franchisees/ Authorized Persons as registered with NSE along with their name and address. (list in duplicate) Premium for this cover is Rs. 1000/- + applicable Service tax per Intermediary.																														

9(c)	For Currency Derivatives	Are you Opting for Add-On Cover - Yes / No _____ If Yes, Please note the Maximum Liability is limited to 25% of the Policy Basic S.I subject to a maximum of Rs. 50,00,000/-and premium is 10% extra of the Basic Premium.	
9(d)	For omission to insure/intimate additions/alterations within the same branch band	Are you Opting for Add-On Cover - Yes / No _____ If Yes, Please note this extension is limited to the number of branches in that band and premium is 10% extra of the Basic Premium.	
10.	Previous Year Policy No.	If of New India Assurance 112700/36/12/22/0000..... If previous Policy was with other Insurer, please give that policy No.	
11	Claim registered and/ or settled on the Last Year Policy	No. of Claims	Total Amount of Claim Rs.
12.	Name Of The CEO Contact No Email		

I/We have noted and agreed that

- The Sum Insured under 'Branch Coverage' per Branch will remain restricted to the 25% of the Basic Indemnity Limit Opted under the Policy.
- The Sum Insured under Add-On 'Intermediaries Coverage' per Intermediary will remain restricted to the 10% of the Basic Indemnity Limit Opted under the Policy.
- The Sum Insured under 'Currency Derivatives' will remain restricted to the 25% of the Basic Indemnity Limit Opted subject to a maximum of Rs. 50 lacs under the Policy.
- Omission to insure additions/alterations extension is limited to the number of branches in that band only.
- I/We will declare all new additions and/or alterations in the number of Branches and/or Intermediaries to the Insurer in writing as and when such changes occur, but not later than 7 days from such change and undertake to pay the requisite premium including any hike in slab occasioned by crossing the threshold number of Branches and/or Intermediaries with such Intimation.
- Not withstanding other restrictions mentioned hereinabove, The liability of the Insurer shall remain limited only up to the Basic Indemnity Limit (Basic Sum Insured) initially opted under the Policy and endorsed upon it later on, on payment of additional premium; for all payable claims including all sections/heads of the Policy and endorsements thereon; together.

I/We hereby declare that the statements made/information furnished by me/us in the Proposal cum Questionnaire Form are true to the best of my/our knowledge and belief and I/We agree that this declaration shall form the basis of the Insurance Contract between me/us and The New India Assurance Co. Ltd. & as per the terms, conditions, exclusions of the policy clause. All further additions & alterations in the Risk proposed will be informed to the Insurance Co. immediately.

Date :

Place :

SIGNATURE OF TRADING MEMBER
(With Seal/Stamp)

Insurance Broker

Premium calculation Chart for CSE Policy.

Detail		Sum Insured Rs.	Rate/Slab	Net Premium
1.	Basic Premium			
2.	Add : 5% for each Trading/Operating office to be covered, other than Branch (s).	No.	% of Basic Prem.	
3.	Branches (All or None Basis)	No.	% of Basic Prem.	
4.	Add-On 1 Intermediaries (All or None Basis)	No.	Rs. 1000 per intermediary	
5.	Add-On 2 IPO Book Building		15% of Basic Prem.	
6.	Add-On 3 Currency Derivatives		10% of Basic Prem.	
7.	Add-On 4 Omission to insure Branch additions/alterations (within Band)		10% of Basic Prem.	
8.	Loyalty Discount		% on basic Prem.	
9.	No Claim Discount		% on basic Prem.	
10.	Claims Loading Claim Amount		% of Basic Prem.	
			Sub Total	
			S. Tax @ 12.36%	
			Total Payable	

Encl : DD / Cheque No. Dated
Amount Rs. Bank



THE NEW INDIA ASSURANCE COMPANY LTD.
DO 112700, NEW INDIA CENTRE ,GR.FLR. 17/A COOPERAGE ROAD, MUMBAI 400 039

CSE Stock Brokers' Indemnity Insurance

Premium Rating Chart
(POLICY PERIOD 2013-2014)

- 1. (a) Basic Premium Rates** - Basic Premium Rates depends upon Basic Indemnity Limit (Basic Sum Insured) opted by the Proposer / Insured as per the following table:

SN	Basic Indemnity Limit (Basic Sum Insured)	Basic Premium Rs.	SN	Basic Indemnity Limit (Basic Sum Insured)	Basic Premium Rs.
1	Rs. 5 Lac	26425	9	Rs. 250 Lac	346273
2	Rs. 10 Lac	42909	10	Rs. 300 Lac	396149
3	Rs. 15 Lac	53626	11	Rs. 350 Lac	441745
4	Rs. 25 Lac	62181	12	Rs. 400 Lac	462472
5	Rs. 50 Lac	99816	13	Rs. 450 Lac	501915
6	Rs. 100 Lac	162381	14	Rs. 500 Lac	540002
7	Rs. 150 Lac	229422	15	Rs. 750 Lac	734838
8	Rs. 200 Lac	294971	16	Rs.1000 Lac	954574

- 1. (b) Trading/Operating office other than Branch (s) can be covered for an indemnity limit upto 100% for each location with an extra premium @ 5% per location**

Note : 1. Compulsory Excess of 5% of claim amount subject to minimum of Rs. 25,000 for each & every claim.
2. Service Tax @ 12.36% is applicable Extra on Basic Premium.

- 2. Basic Cover For Branches** - Please note that the Cover is optional and the additional Premium based upon the No. of Branches will be charged as per table given below - (All or None Basis)

Slab as per No of Branches	Additional Premium	Minimum Sum Insured (Rs)
1-10	5 % of the Basic Premium	As per Insured's Option
11-25	10 % of the Basic Premium	Minimum 50 Lac
26-50	15 % of the Basic Premium	Minimum 1Crore
51-75	20 % of the Basic Premium	Minimum 2 Crores
76 – 100	30 % of the Basic Premium	Minimum 3 Crores
101-200	45 % of the Basic Premium	Minimum 4 Crores
201-350	70 % of the Basic Premium	Minimum 5 Crores
351-500	80 % of the Basic Premium	Minimum 7.5 Crores
501 & above	100% of the Basic Premium	Minimum 10 Crores

Please also note that minimum Basic Sum Insured to be opted under the Policy depends upon the No. of Branches as above and the Maximum Liability per Branch is limited to the 25% of the Basic Sum Insured under the Policy.

3. Add-On Covers

(a) Add-On For IPO Book Building -

- Net Premium Rate is 15% extra of the Basic Premium + ST @ 12.36% extra.
- Maximum Liability (AOY) is limited to 25% of the Basic Sum Insured under the Policy.

(b) Add-On for Intermediaries (All or None Basis)

- Net Premium Rate is Rs. 1000 extra for each intermediary + ST @ 12.36% extra.
- Cover on ALL or NONE basis – if undeclared, cover would be void. To be declared at inception and addition during the policy to be informed for issuance of endorsement.
- Maximum Liability (AOY) is limited to 10% of the Basic Sum Insured under the Policy.

(c) Add-On for Currency Derivatives

- Net Premium Rate is 10% extra of the Basic Premium + ST @ 12.36% extra.
- Maximum Liability (AOY) is limited to 25% of the Basic Sum Insured under the Policy subject to a maximum of Rs. 50 lacs.

(d) Add-On for Omission to insure additions/alterations

- Net Premium Rate is 10% extra of the Basic Premium + ST @ 12.36% extra.
- It would cover any omission to insure addition or alteration of number of branches within the same band of branches.



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Premium Rating Chart
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4. **Claim Loading** - In addition to the above premium calculated, Claims loading for all the claims registered &/or settled on the previous year Policy as per the following table :

Claims loading - for a claim on Previous Year Policy	% Loading of Basic Prem.
Claims amount upto 5% of indemnity limit	35%
Claims amount upto 10% of indemnity limit	45%
Claims amount upto 20% of indemnity limit	75%
Claims amount upto 25% of indemnity limit	100%
Claims amount upto 50% of indemnity limit	125%
Claims amount upto 75% of indemnity limit	150%
Claims amount above 75% of indemnity limit	200%

SR. Divisional Manager